



OVERVIEW AND SCRUTINY COMMITTEE

Thursday 21 February 2013 at 6.30 pm

Council Chamber, Ryedale House, Malton

Agenda

1 Emergency Evacuation Procedure.

The Chairman to inform Members of the Public of the emergency evacuation procedure.

2 Apologies for absence

3 Minutes of the meeting held on the 13 December 2012

(Pages 1 - 4)

4 Urgent Business

To receive notice of any urgent business which the Chairman considers should be dealt with at the meeting as a matter of urgency by virtue of Section 100B(4)(b) of the Local Government Act 1972.

5 Declarations of Interest

Members to indicate whether they will be declaring any interests under the Code of Conduct.

Members making a declaration of interest at a meeting of a Committee or Council are required to disclose the existence and nature of that interest. This requirement is not discharged by merely declaring a personal interest without further explanation.

6 Applications For Dispensation - Setting The Council Budget

(Pages 5 - 10)

7 Safer Ryedale Performance Report and Priorities for 2013/2014 (Pages 11 - 34)

- 8 **Presentation and Q and A - Ryecare Services**
- 9 **Scrutiny Reviews Progress Report** (Pages 35 - 40)
- 10 **Treasury Management** (Pages 41 - 64)
- 11 **External Audit Report Q3** (Pages 65 - 68)
- 12 **External Audit - Certification Report 2011/13** (Pages 69 - 82)
- 13 **Customer Complaints Q3 (2012/13)** (Pages 83 - 88)
- 14 **Annual Governance Statement** (Pages 89 - 94)
- 15 **Corporate Risk Register** (Pages 95 - 112)
- 16 **Decisions from other Committees** (Pages 113 - 116)
Commissioning Board held on 24 January 2013
Policy and Resources Committee held on 14 February 2013 (to follow).
- 17 **Any other business that the Chairman decides is urgent.**

Public Document Pack Agenda Item 3

Overview and Scrutiny Committee

Held at Council Chamber, Ryedale House, Malton
on Thursday 13 December 2012

Present

Councillors Cussons, Mrs Shields (Vice-Chairman), Wainwright (Chairman), Ward and Windress

In Attendance

Audrey Adnitt, Neil Irving (Assistant Director of Policy and Partnership NYCC), Peter Johnson, Clare Slater and Max Thomas (Director and Head of Internal Audit Veritau).

Minutes

188 **Presentation on the Police & Crime Commissioner and the Police & Crime Panel**

Mr Neil Irving, Assistant Director (Policy & Partnerships) for North Yorkshire County Council gave a presentation on the Police and Crime Commissioner and the Police and Crime Panel.

189 **Apologies for absence**

Councillors Arnold, Mrs Hopkinson and Raper.

190 **Minutes of the Meeting held on the 4 October 2012**

Decision

That the minutes of the meeting of the Overview and Scrutiny Committee held on the 4 October 2012 be approved and signed by the Chairman as a correct record.

191 **Urgent Business**

The Chairman advised that there was one item of urgent business.

192 **Declarations of Interest**

None.

193 **Treasury Management Mid Year Review**

Considered – Report of the Corporate Director (s151)

Decision

That the report be received and the mid year performance of the Council's funds be noted.

194 **Independent Auditors Report**

Considered – The report of the independent Auditor Paul Thompson ACA on behalf of Deloitte LLP Appointed Auditor.

Decision

That the report be received.

195 **Deloittes - Annual Audit Letter on the 2011/12 Audit**

Considered the Annual Audit Letter on the 2011/12 Audit from Deloittes.

Decision

That the report be received.

196 **Internal Audit - Quarter 2 Monitoring Report**

Considered – The report of the Corporate Director (s151).

Decision

That the results of the audit and fraud work undertaken in 2012/13 be noted.

197 **Customer Complaints Quarter 2 2012/13**

Considered – report of the Customer Services and Benefits Manager

Decision

That the report be received.

198 **Risk Strategy Annual Review**

Considered – Report of the Head of Corporate Services

Decision

That Members note the content of the annual review of the Risk Management Strategy and the deletion of the action relating to the appointment of a Member Champion for Risk.

199

Scrutiny Review Scoping Report - Role of Members on Outside Bodies and as Member Champions

Considered – Report of Head of Corporate Services

Decision

That the scope for the review be as follows:

- To review the list of outside bodies and consider if representation on all of them is beneficial to the Council and Ryedale.
- To find out more about the outside bodies on which RDC is represented including remit of body, frequency, timing and venue of meetings, any trustee role and requirements, phone and email contacts, and websites.
- To explore the use of the modern.gov. committee management system to best effect to provide more information about outside bodies to both members and the public.
- To consider any conflicts of interest for Members by sitting on outside bodies where they undertake a trustee role and how this may impact on members decisions making role within the Council.
- To consider ways of making more information about the activities of outside bodies accessible to Members.
- To understand the role of member champions and review the themes of these.
- To estimate the cost of representation on outside bodies to the Council and identify the value this may add for the Council and Ryedale.
- To investigate how we can ensure Members appropriate skills are used when assigning Members to organisations.
- To consider whether appointments to outside bodies should be political.
- To prepare a recommendation for Council on if and when these appointments should be political.

200

Decisions from other Committees

The minutes of the Commissioning Board meeting held on the 22 November 2012 and the Policy & Resources Committee held on the 6 December 2012 were presented.

Decision

That the minutes be received.

201 **Any other business that the Chairman decides is urgent.**

The Chairman reported on a request received to investigate if the service provided by Ryecare was 'fit for purpose'.

The Head of Corporate Services advised that initial discussions had taken place with both the Head of Planning and Housing and the Housing Services Manager, and they had reported that although Ryecare worked well, there was a reliance on the agencies it called out to also perform well.

The Housing Service Manager would attend the next meeting of the Overview and Scrutiny Committee to provide further information.

The meeting closed at 8pm.



PART A:	MATTERS DEALT WITH UNDER DELEGATED POWERS
REPORT TO:	OVERVIEW AND SCRUTINY COMMITTEE
DATE:	21 FEBRUARY 2013
REPORT OF THE:	COUNCIL SOLICITOR AND MONITORING OFFICER ANTHONY WINSHIP
TITLE OF REPORT:	APPLICATION FOR DISPENSATION – SETTING THE COUNCIL BUDGET
WARDS AFFECTED:	ALL

EXECUTIVE SUMMARY

1.0 PURPOSE OF REPORT

- 1.1 To ask the Overview and Scrutiny Committee to consider granting a dispensation to members of the Council who have a disclosable pecuniary interest or other personal and pecuniary interest in the setting of the Council budget.
- 1.2 The Committee's terms of reference provide for it to grant dispensations.

2.0 RECOMMENDATIONS

- 2.1 That the Overview and Scrutiny Committee acting in its Corporate Governance role:
- (i) Grants a dispensation to all Members of the Authority to enable all Members to participate in full in all decisions relating to the setting of the Council's budget and Council Tax.
 - (ii) Approves the dispensation for a period of more than 2 years until 28 February 2015.

3.0 REASON FOR RECOMMENDATIONS

- 3.1 To allow a sufficient number of Members to be able to participate in decision making relating to the setting of the Council's budget and Council Tax despite the fact that they may have a Disclosable Pecuniary Interest in these matters.

4.0 SIGNIFICANT RISKS

- 4.1 That Members are prevented from participating in the Budget setting for the Council due to Disclosable Pecuniary Interests thereby leaving the Council in breach of its statutory duties. This risk is wholly mitigated by the grant of dispensation. The risk matrix may be seen at Annex A.

5.0 POLICY CONTEXT AND CONSULTATION

- 5.1 Officers of the authority have been notified of the proposal to seek the dispensation if appropriate.
- 5.2 The setting of the Council's budget affects all of the Council's aims and priorities.

REPORT

6.0 BACKGROUND AND INTRODUCTION

- 6.1 The Council is under a statutory duty to set a budget. Under the previous standards regime, Councillors could rely on a specific exemption in relation to the setting of council tax and a precept. This provision has been repealed and as such a dispensation is required to enable the participation of all Councillors in relation to the setting of the Council's budget (which includes the setting of the Council Tax) at the Budget Council meeting on Tuesday, 26 February 2013.
- 6.2 All Councillors have completed their Registers of Interests as required by the Localism Act 2011, and as such councillors have declared Disclosable Pecuniary Interest (DPI). If a member has a DPI in a matter that is being considered at a meeting, they are required, by the Localism Act to leave the room and not participate in the debate or vote on the item. In accordance with the Members' Code of Conduct, the Member is also required to declare their interest.
- 6.3 Under the old standards regime, the legislation provided an exemption to members who had prejudicial interest in the setting of budgets and precepts. This exemption has been repealed and in effect requires members to declare DPIs. The most relevant DPI will be the disclosure of land owned in the authority area, including the details of their home addresses.
- 6.4 The DPIs where Members will find themselves under a disability may be identified as interests in property and Membership of Unincorporated Associations as described below.

Land

- 6.5 This DPI covers any property in the Council's area in which a Member has a beneficial interest and, therefore, includes Members' or their spouses' or partners' homes. On the face of it property interests are directly affected by the level of Council Tax. As almost all Members have registered such an interest it is clear that paragraph 33 (2) (a) of the 2011 Act (set out in paragraph 7.11 below) applies.

Membership of Unincorporated Associations

- 6.6 Officers have also identified a second area of concern where Members might be under a disability in the budget process as a result of their involvement in voluntary and community bodies. The statutory list of DPIs does not include, as such, membership or involvement with such organisations. Although Members are required to register their interests where they have a beneficial interest in an outside body and/or may make a profit from the body concerned, most if not all, the outside bodies supported by the Council are non-profit making and, probably, the legislation was not intended to catch membership of voluntary community organisations.
- 6.7 Members are, however, required to register/declare contracts between themselves and the Council. The grant conditions imposed by the Council on the organisations it funds are, arguably, contracts as they require the performance of certain services as

a condition of the grant. Most of these organisations are un-incorporated and so their legal identity is nothing more than the individuals who make up the organisation. Where the grant conditions are signed by a Council Member for the organisation he or she may be deemed to have a DPI in the business of determining the grant to that body. It is less clear that a Member who is on the Management Committee of an organisation would have a relevant DPI.

- 6.8 As the Council is required by law to set a budget and a Council Tax it is, therefore, important to ensure that meetings are quorate, to ensure that a decision can be taken. Failure to set a budget and a Council Tax would have serious implications for the Council.
- 6.9 Due to the number of Councillors who have disclosed a relevant DPI on their register; there is a real risk that without a dispensation the Council meeting item dealing with the budget and setting the Council Tax would be inquorate.
- 6.10 Furthermore, it is considered inappropriate that any Member should be prevented from participating in the budget process being one of if not the most important function of the Council either because they have an interest which is shared in common with many thousands of residents or, in the case of membership of voluntary or community organisations, where they have a community role which is not pursued for profit or gain.

Issues

- 6.11 The provisions on dispensations are significantly changed by the Localism Act 2011. The 2011 Act allows the Council to grant dispensation in the following circumstances for a specified period of time not exceeding 4 years:
- (i) That so many members of the decision-making body have DPis in a matter that it would "impede the transaction of the business". In practice this means that the decision-making body would be inquorate as a result;
 - (ii) That, without the dispensation, the representation of different political groups on the body transacting the business would be so upset as to alter the outcome of any vote on the matter.;
 - (iii) That the authority considers that the dispensation is in the interests of persons living in the authority's area;
 - (iv) That the authority considers that it is otherwise appropriate to grant a dispensation.
- 6.12 Council at its Annual Meeting on 17 May 2012 delegated authority to grant dispensations in respect of applications for dispensations in relation to (i) - (iv) above. That authority has been delegated to the Overview and Scrutiny Committee in its Corporate Governance capacity.
- 6.13 In the current situation, it is considered that the request for dispensation falls in to category (i), (ii), (iii) and (iv) above.
- 6.14 If a Member has either a Disclosable Pecuniary Interest or a Personal and Pecuniary Interest, they are prohibited from participating in the debate and vote on the item.
- 6.15 Due to the number of councillors who have disclosed a relevant DPI on their register; there is a real risk that without a dispensation a significant number of Councillors

could be required to declare an interest and as such be prevented from participating in the decision making process. The lack of the ability of a significant number of councillors to participate could have the impact of upsetting the political balance of the meeting at which the decision is to be made.

6.16 Dispensations can be granted for up to 4 years.

Conclusions

6.17 The Overview and Scrutiny Committee has the requisite power to grant a dispensation in this case to allow Members with interests to fully participate in meetings and decisions relating to the setting of the Council's budget and Council Tax.

6.18 Having taken account of the number of Members who may be affected as a result of the completion of their Registers of Interests, it is considered appropriate to grant a dispensation to all Members for a period of more than two years (until 28 February 2015) so as to enable all Members to participate.

7.0 IMPLICATIONS

7.1 The following implications have been identified:

a) Financial

The Council is required by law to set a budget and failure to do so would have very significant implications.

b) Legal

The Localism Act enables the Council to consider applications for dispensations in the accordance with the grounds referred to at paragraph 7.11 above. In order to grant a dispensation, the Committee needs to be satisfied that on the information available, the application meets the criteria for a dispensation on grounds (i), (ii), (iii) and (iv) referred to at a paragraph 7.11 above. Since the Council is required by law to set a budget it is, therefore, important to ensure that meetings are quorate, so as to ensure a decision can be taken. Failure to set a budget will have serious implications for the Council.

c) Other (Equalities, Staffing, Planning, Health & Safety, Environmental, Crime & Disorder)

An equalities impact assessment is not considered necessary in this instance.

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Council Solicitor

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Background Papers:

Code of Conduct for Members of Ryedale District Council dated 12 July 2012

APPLICATION FOR DISPENSATION – SETTING THE COUNCIL BUDGET - ANNEX A

Issue/Risk	Consequences if allowed to happen	Likelihood	Impact	Mitigation	Mitigated Likelihood	Mitigated Impact
That Members are prevented from participating in the Budget setting for the Council due to Disclosable Pecuniary Interests thereby leaving the Council in breach of its statutory duties.	The Council would be in breach of its statutory duties to set a budget and Council Tax.	2	D	Grant dispensation	1	A

Score	Likelihood	Score	Impact
1	Very Low	A	Low
2	Not Likely	B	Minor
3	Likely	C	Medium
4	Very Likely	D	Major
5	Almost Certain	E	Disaster

ANNEX A

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PARTNERSHIP PLAN 2011 – 2014

ACTION PLAN 2012 – 13

Performance Monitoring Report Quarter 3

January 2012

Domestic Abuse

Aim: To protect and support people experiencing domestic abuse in Scarborough, Whitby and Ryedale

Indicators: Repeat incidents reviewed at MARAC	Baselines & Target Reduction Reduce repeat incidents of domestic Violence reviewed at MARAC	Local Target set at 25.5%				
Objective 1: Reduce repeat MARACs						
Action	Who	When	30/06/12	30/09/12	31/12/12	31/03/13
Implement changes from MARAC Quality Assurance Report from CADDA	DV Coordinator in consultation with Victim Support & DAS	By end of June 2012	Green	Green	Green	
A clear policy framework needs to be written in relation to the risk assessment by the IDVA service. Policy to highlight the IDVA capacity from DAS to support the IDVA role at MARAC's. Requirement for documented time frame for referrals to the IDVA service.	Forum	March 2013	Green	Green	Green	
MARAC Protocol to be updated and signed off by all Agencies	DV	March 2013	Amber	Amber	Amber	
<p>Comments:</p> <p>Qtr 1 – Cases to be heard within 4 weeks of the incident, MARAC to MARAC transfer, emergency MARAC's and option for victim attendance is removed – all now included in the protocol</p> <p>Qtr 2 – The protocol has been presented to DAF for approval. It was recommended that the option for victim attendance is removed. The document is now complete and awaiting a few more local signatures.</p> <p>Qtr 3 - The Policy has now been agreed by both DAS and Victim Support. MARAC protocol update – CAF/CASS have a problem sharing data and will only do so with the Courts permission. Just waiting for signature from Mental Health.</p>						

Objective 2: Deliver awareness raising and education initiatives						
Action	Who	When	30/06/12	30/09/12	31/12/12	31/03/13
Continue to develop the availability of voluntary perpetrator programmes and monitor their effectiveness.	Forum/ Foundation	March 2013	Amber	Amber	Amber	
Evaluate and feedback on the delivery of the DV Programme to be delivered from July 2012 onwards	DAS/ Women's Centre	March 2013	Red	Red	Red	
Agencies to attend Homelessness Action Plan Consultation event in Ryedale, opportunity to feed into the Action Plan and network with other agencies	All agencies	March 2013	Green	Green	Green	
Use Big Bus and Ryepod to disseminate leaflets, posters etc	All agencies	Ongoing	Green	Green	Green	
Update local websites to advertise services available	DV	Ongoing	Green	Green	Green	
Develop the train the trainer's champion training to deliver and ensure commitment from those who attend of at least 1 per year and confirmed by the attendees line manager	All agencies	Ongoing	Amber	Amber	Amber	
Deliver Awareness Training to SPOCs in different agencies so that staff can go to them for advice and assist with signposting etc	DV	Ongoing	Amber	Amber	Amber	
<p>Comments:</p> <p>Qtr 1 – Persons have been identified by Foundation for the Perpetrator Programmes, currently in negotiation with AVP for the course to be run locally. Train the Trainer sessions are now booked for 17 August 2012.</p> <p>Qtr 2 – The training was delivered on 15th and 17th August, two more champions now trained within the Ryedale area.</p> <p>Qtr 3 - Staff trained within Foundation Housing who will deliver a diluted version of the IDAP programme within their support. Probation to update Foundation when their new package is due to be delivered. The Healthy Relationships Programme is still to be rolled out.</p> <p>DAS still to provide feedback when evaluated from victims who have completed the programme but due to lack of take up, this will be evaluated in April 2013 after the next round of training is delivered in March 2013.</p> <p>Nikki, Angela and Gail attended the Homelessness Action Plan Consultation and did feed into the Action Plan to ensure that Making Safe was included. All posters and leaflets are continuing to be distributed in Ryedale and Scarborough. In the process of updating Safer Communities & North York's Police Website, once SCP website up and running to include links to Castle Health, WCP, Foundation etc. Gail will update Safer Ryedale website accordingly.</p>						

Ryedale posters are distributed to GP surgeries, area offices, libraries, children's centres, clinics, police bus and public houses (toilet doors) in all four market towns. Organising course to be run to cover areas of stalking, sexual exploitation and the new Domestic abuse definition. Agencies will be asking for volunteers from their agencies to become SPOCs.

Hidden Crime Event 22 November – Domestic violence, honour based violence, sexual exploitation and child exploitation and online protection were presented. The event had three guest speakers including Sara Swann, MBE (leading expert in the field of child sexual exploitation). 60 people attended the event, 30 from the community, predominantly Women's Institute and 30 officers from North Yorkshire Police, Ryedale District Council and North Yorkshire County Council, this is the first time we have done a joint training/awareness event for the public and officers.

Objective 3: Develop and maintain Multi-Agency Partnership Working

Action	Who	When	30/06/12	30/09/12	31/12/12	31/03/13
Increase representation to the existing DAF membership for the hard to reach groups including BME, travellers, gay, bi sexual and Transgender.	Forum	March 2013	Amber	Amber	Amber	
Increase representation to the existing DAF membership for the services and agencies working with people with mental health issues	Forum	March 2013	Amber	Amber	Amber	
Opportunities to work with travellers	Forum	March 2013	Amber	Amber	Amber	
Link into Gateway Project – drop in centre for travellers	Forum	March 2013	Amber	Amber	Amber	
Explore possibility of linking in with Age Concern, MESMAC, OLGA, websites to advertise services available	Forum	March 2013	Amber	Amber	Amber	

Comments:

Qtr 1 – A member of Gateway now attends the forum. Currently waiting for a member of MESMAC to attend.

Qtr 2 – Mesmac have confirmed they will be able to attend future meetings. Currently waiting for representation from the Mental Health Team.

Qtr 3 – Angela has contacted Gemma Ingles (NYCC), on behalf of the Cohesion Officers to see if we can get a Polish representative for the forum. A representative from the Steering Group will attend Seamer Horse Fair in early July. Membership of the Steering Group will be monitored.

Objective 4: Develop and maintain services for children and young persons

Action	Who	When	30/06/12	30/09/12	31/12/12	31/03/13
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Support the continuation of the delivery and availability of the Specialist Children's Therapeutic Worker at Domestic Abuse Services to children and young people which has been identified in the Commissioning Strategy as a gap in services across the county	Forum	March 2013	Green	Green	Green	
Continue to deliver Domestic Abuse education packages into schools	Forum Trainers	March 2013	Green	Green	Green	
Link in with Youth Services/Hubs including up skill youth workers. Delivery of more group work re healthy relationships.	Forum	March 2012	Red	Red	Red	
<p>Comments:</p> <p>Qtr 1 – The Domestic Abuse package is continued to be delivered in schools.</p> <p>Qtr 2 – Funding has now been provided for 2 PSA workers who can assist the DAS Therapeutic Worker.</p> <p>Qtr 3 – NYCC secured funding commissioned year on year. New PSA's to be interviewed in February, they will assist Di Wicks in working with families and delivering some low level support within group settings. Angela now part of the Friarage Steering Group and will go into schools in February/March to deliver awareness raising with staff. Linked in with The Street to invite members to attend the forum</p>						

Objective 5: Improve availability of appropriate accommodation for victims of abuse

Action	Who	When	30/06/12	30/09/12	31/12/12	31/03/13
Provision of Refuge accommodation	Foundation/ DAS/RSLs	Ongoing	Amber	Amber	Amber	
<p>Comments:</p> <p>Qtr 1 – This is a standing agenda item at DAF</p> <p>Qtr 2 – Coast & County have backed out of the refuge project, Scarborough Borough Council are now looking for interested parties.</p> <p>Qtr 3 – Another interested developer has been found, work is ongoing to develop this project.</p>						

Objective 6: Sustainability of the Making Safe Scheme

Action	Who	When	30/06/12	30/09/12	31/12/12	31/03/13
To promote & develop Making Safe services delivered by Foundation & DAS	Foundation/ DAS	March 2013	Green	Green	Green	
Probation to develop the IDAP Programme	Probation	March 2013	Green	Green	Green	

Comments:

Qtr 1 – Making Safe has now been launched within custody suites, now looking at the communication strategy to all agencies to highlight the scheme. The work with the transition of perpetrators to independent living is ongoing.

Qtr 2 – Launch of scheme within the custody suites, now have Champion within Scarborough custody suite. Making Safe promoted at Safer Community Roadshow and will be promoted at YCH Flagship events in Scarborough & Whitby on 30 October and 5 November. Representative from North Yorkshire to attend Conference in London to promote Making Safe.

Qtr 3 – New software to include custody prompt now available on the Police Custody system. Champion within the Custody suite that DV Coordinator regularly links with. DV Co-ordinator has delivered training to all PCSO's in Scarborough and with members of Foundation has delivered training to members of Ryedale Housing Team and some Malton Police Officers.

The IDAP programme will be overhauled and re-launched. Making Safe workers will be fully briefed on the changes when they happen so that they can integrate their work with the developing theory and practice of YNYPT

Safer Roads

Aim: To minimise the levels of Killed and Seriously Injured on the roads of Ryedale.

Indicators: NI 47 Number of people killed or seriously Injured in RTC's Borough 1994-98 Average = 149	Baselines & Target Reduction N Yorks 2008 536 2009 538 2010 TBC Scarborough 2008 73 2009 82 2010 TBC		Reduction of 40% by 2010 = 89			
	NI 48 Children killed or seriously injured in RTC's Borough 1994-98 Average = 22		Baselines & Target Reduction N Yorks 2008 34 2009 32 2010 TBC Scarborough 2008 8 2009 8 2010 TBC		Reduction of 50% by 2010 = 11	
Action	Who	When	30/06/12	30/09/12	31/12/12	31/03/13
1. Motorcycle Campaign to include:- <ul style="list-style-type: none"> Awareness raising events at Emergency Service Days, major shows, Whitby Regatta Cross County initiatives (Cleveland, West Yorkshire) Media Campaign (Face Book) Maps, Issues, Stories Internet Campaigns (Think Website) Enforcement on key routes A170 	Safer Roads Steering Group	On going	Green	Green	Green	

Comments:-

Qtr 1 – Digi Van deployment, Sun 15th April Bike Races Oliver's Mount, Scarborough, Sat 28th April Bike Safety event Ian Brown Tyres, Malton and D W Motorcycles, Roscoe Street, Scarborough. Bike Safety press release issued and put on press release.

Qtr 2 – 21/22 July Oliver's Mount Cock o' North and 16 September Gold Cup Oliver's Mount

6 July – CFOA Road Safety day of action, 22 July – Malton Show, 29 July – Emergency Services Day, 31 July – Ryedale Show, 8 August – Thornton Dale Show, 12 August – Filey Fun Safety Day, 18, 19, 20 – Whitby Regatta, 22 August – Egton Show

Qtr 3 –

Qtr 4 – 2013 Campaign launch planned for early March with events in Helmsley, Scarborough and Whitby using digivan.

2. Older Drivers (Cars) Campaign to include:-

- Older drivers events, consult Age UK and Ryedale Older Persons Forum
- Possible use of volunteer Advanced Driving Instructors
- Seasonal events including reaction tests, eye tests and ADI assessments
- Arrive Alive Classic presentations

Safer Roads Steering Group

On going

Green

Green

Green

Comments:-

Qtr 1 – Advice and literature handed out at Ryedale, Malton and Thornton le Dale shows

Qtr 2 - Presentations to groups of Older Drivers in Whitby and Castleton. Presentation to Parkinson Support Groups in Whitby and Malton. 39 refresher drivers have taken place in the Scarborough and Ryedale areas.

Qtr 3 – Older Driver presentation to Parkinson Support Group in Malton – 20 refresher drives taken place in Ryedale.

3. Young Road User Campaign to include:-

- Drive Alive NYCC countywide Drive Alive within schools identified as a priority. Once this priority list is confirmed the schools in both districts requiring local targeting will be clearer. (Potential also for Young Farmers group, Cadets and Atmosphere and alternative education packages)
- Education young school children "Learn & Live"
- Daniel DVD for parents of young drivers
- Develop Campaign Ride Aware for 2 wheel road users
- Possible campaign UTube Video Clips, DVD, Drive Wise, Think Campaign Tales of the Road. Use NYCC 4Youth face book page.
- Schools being invited to take Drive Alive will be Lady

Safer Roads Steering Group

On going

Green

Green

Green

Lumleys, Whitby Community College, Norton College, George Pindar Sports College and potentially Graham if not all schools written to take up offer						
<p>Comments:- Qtr 1 – 18-24 Child Safety Week - Child Road Safety Press Release issued in local media, also on website. 12-22 June Crucial Crew Event including Ryedale schools. Qtr 2 – 12 July - Drive Wise in Pickering, 24 October – Drive Alive at Lady Lumley’s School, Drive Alive at Whitby Comm College, Norton College, 8 October – Drive Wise in Scarborough, 18 October – Drive Wise in Whitby, 27 September – Drive Wise in Malton Qtr 3 – Poetry workshops now booked for Pickering Junior School 4th Feb 58 x Yr 6 pupils (although the whole school will see Bernard and hear the children’s poems at the end of the day), Malton Primary School 7 February 37 x Yr 6 pupils (although the whole school will see Bernard and hear the children’s poems at the end of the day), Thornton Dale Primary School, 7th February 35 x Yr 5/6 pupils (although the whole school will see Bernard and hear the children’s poems at the end of the day). Young Driver Theatre in Education project took place in Malton School 28 November.</p>						
<p>4. Deployment of Data Loggers and use of the 3 Matrix Signs</p> <p>Continual deployment of the data loggers and signs where a need is identified.</p>	Rose Fearnley (NYF&R)	On going	Green	Green	Green	
<p>Comments:- Qtr 1 - 24 April Knapton B1258 Malton Road, York Road, Leavening and Finkle Street, Sheriff Hutton. 3 May York Road, Leavening (Re-deployment) Qtr 2 – 11 July Leavening, 1 August Foxholes, 15 August West Lilling, 28 August Swinton Qtr 3 – Total of Speed Data Logger Deployments to date - 11</p>						
<p>5. Seat Belt Monitoring</p> <p>The events focussing on seatbelts and mobile phone use will continue within Scarborough and Ryedale (Priority areas identified through the data loggers and also around schools), the Safer Neighbourhood Teams will continue to support where possible. Twelve events per year to be programmed in.</p>	Safer Roads Steering Group	On going	Green	Green	Green	
<p>Comments:- Qtr 1 - 24 April - St Mary’s Primary Malton, 15 May – Newby and Scalby School, 28 May - Sheriff Hutton Primary School, 26 June – East Whitby School, Whitby Qtr 2 – 17 July – Kirkbymoorside Primary School, Sept – Gladstone Road School Qtr 3 – Norton College and Malton School in November.</p>						

6. Occupational Road Risk/Users	Safer Roads Steering Group	On going	Green	Green	Green	
Qtr 1 – Qtr 2 – Taxi firms contacted after concerns were raised following seatbelt monitoring sessions Qtr 3 – Wards of Sherburn – apprentice presentations						
7. Laser Speed Enforcement & FPN	NYP	On going	Green	Green	Green	
Comments Qtr 1 – Fixed Penalty Notices Speeding 120, Mobile Phone offences 35, Seatbelt offences 84. Qtr 2 – Taxi firms contacted after concerns were raised following seatbelt monitoring session Qtr 3 – Fixed Penalty Notices Speeding 150, Use of Motor Vehicle without Test Certificate 116, Contravening double white line 2, Failure to display rear registration mark on trailer 5, Driver of vehicle fail to wear seat belt 12, Exceeding maximum authorised vehicle weight 3, No waiting 22, Park on Cab Rank 1, No Insurance 10, Tyre defects 2, Use of handheld mobile phone whilst driving 11, Driving on a footpath or bridleway 1, Vehicle registration fails to comply 9, Unnecessary obstruction 5, Left/right turn where prohibited 1, Stopping within limits of zebra/pelican crossing 1, Sounding horn whilst stationary 1, Glass not as prescribed 1, Stopping on Clearway 1, Failure to use obligatory warning beacon 1, Failure to ensure tachograph installed and used 1 and Driving other than in accordance 2						

Community Priorities

Aim: To minimise the effects of crime and anti-social behaviour on the communities within Ryedale thereby contributing to a positive view of the District.

Indicators:	Baselines & Targets	30/06/12	30/09/12	31/12/12	31/03/13	
Shop Theft	b/l (9/10) 78 target 3% per year over 3 yrs	13	45	62		
Theft from unattended vehicles	b/l (9/10) 109 target 3% per year over 3 yrs	25	58	81		
Violence	b/l (9/10) 378 target 3.5% per year over 3 yrs	83	167	239		
Hate Crime	b/l (9/10) 16 target 3% per year over 3 yrs	4	4	4		
Burglary of a Non Dwelling	b/l (9/10) 170 target 3% per year over 3 yrs	36	89	138		
Domestic Burglary	b/l (9/10) 53 target 3% per year over 3 yrs	15	27	42		
Objective 1: To reduce theft and violence by 10% 2011-2014						
Action	Who	When	30/06/12	30/09/12	31/12/12	31/03/13
Continue Nominated Neighbour, Sheduction and Seasonal Crime Reduction Initiatives eg expand and publicise No Cold Calling Zones	Steering Group	March 2013	Green	Green	Green	

Continue to promote Watch Schemes	Steering Group	March 2013	Green	Green	Green	
To increase the use of the Restorative Justice Scheme and report to Delivery Team on progress	Sgt John Clayton	March 2013	Green	Green	Green	
Promote Operation Tornado will continue to be a priority for NYP and nominated Sergeant	Sgt Craig Malia	March 2013	Green	Green	Green	
To promote Pub Watch within Ryedale and identify what actions can be taken to prevent offences in the Ryedale night time economy	Steering Group	March 2013	Green	Green	Green	

Comments:

Qtr 1 – No Cold Calling Zones previously needed 100% support from residents, this has now changed to 75%, therefore areas that were rejected in the past can now re-apply. Trading Standards are in the process of sending out new door stickers, concentrating initially on existing areas, the new areas will follow on. Two new [Cold Calling Zones set up - Manor View & St Oswalds Close Oswaldkirk](#).

NYP are currently working on getting feedback on crimes and good news stories out on the ringmaster system, additional work is ongoing with Police staff to ensure crimes are forwarded to the ringmaster super user who then puts them on the ringmaster system. This is a useful resource and needs making full use of. Work ongoing with Youth Support, Probation and schools with regard to obtaining Restorative Justice training. CCTV operation – currently 10 volunteers have come forward and would like to volunteer to run the CCTV system on a Friday and Saturday evenings.

Qtr 2 – No Cold Calling Zone created – all of Warthill Village. Trading Standards carried out test purchases in Malton during the summer months, an illegal sale was made, the person who sold the alcohol to an underage person has received a formal caution. Pubwatch – this is working well, two people now on the banned list and more in the pipe line. Safer Ryedale will continue to focus on the night time economy and violence with targeted patrols taking place, one licensed premise has been taken to a licensing review due to the amount of incidents in and around the premises.

Operation Tornado – continue to focus on metal theft, including diesel theft and agricultural machinery. Several arrests made over the year, but incidents are going down, this is due to targeting known metal theft thieves from within Ryedale and other areas, also targeted known vehicles. Operation Capsicum was run over the Pickering Steam Rally, targeting travelling criminals during and prior to the rally to prevent metal thefts from occurring, this was done by ANPR cameras on the main road routes into the Pickering area. Incidents of crime reported were reduced by three quarters during the course of this operation and was extremely successful in deterring this type of offence. Operation Cedar – focussed on members of travelling community from around the region known to commit offences including metal theft in Ryedale. The development of good intelligence and affective use of watch schemes are key to success.

Watch Schemes – NYP are currently reviewing current ring master system, looking into developing a more tasking system where incidents, intelligence and requests for action are shared. Taxi Watch is now being developed in Ryedale following a successful pilot in Scarborough.

Restorative Justice – Sgt John Clayton is now the Restorative Justice Champion for Ryedale, working with all partners on this scheme. Ryedale Police will seek to use Restorative Justice principles and remedies wherever possible within the District, the aim is to look at alternative methods in reducing the amount of people going to court and recover more victim focus in determining outcomes. Facilitated meetings between offenders and victims form part of this, recent example was the theft of the German flag with some disturbing undertones, following the arrests of the offenders a facilitated meeting took place with the owners of the premises, the owners felt both empowered and reassured by the motives of the individuals in the knowledge that they had listened to the impact it had on others.

Qtr 3 – No Cold Calling Zones created – three new zones in Helmsley (Elmslac Close, Elm Green and Warwick Place at set up on 5th November) also Eberston Village is due to be set up on 13th January.

Operation Chairman has been running since November 2012 and will be the main priority for the final quarter, it is aimed at tackling travelling criminals particularly burglary other risen by 25 offences compared to last year. Additional resources and technical equipment are being utilised to detect offenders. Known travelling criminals are being targeted in neighbouring force areas. Poaching is closely linked to theft and burglary other which are often opportunist in nature. A Country Watch meeting is being held at Malton Police Station on 16th January, where agreement will be made between the Ryedale farming community and NYP on joint patrols to catch and deter poachers and those looking to commit offences such as burglary other. Two prolific poachers from Cleveland were arrested before Christmas in Yedingham, having been caught by Police and members of the local community poaching with dogs, both were arrested and dog seized. Watch scheme members and NYP will have a zero tolerance approach to poaching and hunting with dogs. It is hoped that this joint patrol activity will be rolled out across Ryedale.

Inspector Everitt now has the Scarborough and Ryedale lead for NHW and will be looking at increasing the activity of Beat Managers and PCSO's in delivering ringmaster messages, rather than relying on a few individuals, this will allow messages to be more timely, relevant and involve watch schemes in catching offenders and suspect vehicles.

The NHW AGM was held at Pickering Memorial Hall in November and was very well attended.

Community Resolution Disposals (CRD's) as part of Restorative Justice approach to dealing with offenders now accounts for 5% of detections. Ryedale currently has a 27.8% crime detection and a 5% restorative justice level leading to a total of 32.5% positive disposal rate. Increasing the number of CRD's during 2013/14 will be a priority for Ryedale Police and partners.

Pubwatch within Malton/Norton has encountered difficulties with the current Chair and moves are in hand to elect a new chair within the towns. Pubwatch continues to play a key part in addressing the night time economy offences and in tackling offenders. The partnership will continue to support the Malton and Norton Pubwatch scheme. Violence in the night time economy has reduced 7% having been over profile in 2013 and this is as a result of continuing targeted activity within the towns.

Taxi Watch – the first meeting has been held with Ryedale and Station Taxi's who are the two main companies within Malton and Norton who service all of Malton and Norton. They are in favour of adopting this scheme and funding has been secured from the partnership for two apex radios and a base station. This will allow both companies to be in direct radio contact with Malton Police Officers, its purpose is to protect those who are vulnerable in the night time economy and to report suspicious incidents directly to the local police in real time. It also affords a degree of reassurance to taxi drivers who work in challenging conditions particularly in the night time economy.

Street Angels – 3 qualified street angels have been identified who would like to work in Malton/Norton. The partnership have supported this and making arrangements for them to undertake activity in Malton town centre on a Friday and Saturday evening. The partnership have funded 1 apex radio and are assessing what other equipment is required. Hope Central Café have offered to open at the end of the night time economy opening hours to give the street angels a base and offer support and assistance to those who find themselves in difficulties when out in the town.

Following a number of successful saddle stamping events supported by Horse Watch Members utilising Selby district equipment, the partnership have funded the purchase of a Ryedale saddle stamp to be used with equipment borrowed from Selby Community Safety Partnership. This initiative has been warmly welcomed by horse owners within Ryedale and stamping will include saddles and tack.

Objective 2: Improve perceptions of anti-social behaviour and reduce fear of crime by publishing and responding to public priorities highlighted by the community consultation survey						
Action	Who	When	30/06/12	30/09/12	31/12/12	31/03/13
To review Wentworth Street Car Park and identify actions	PC Jane Jones/Sandi Clark	March 2013	Green	Green	Green	
Maintain an ongoing consultation process on perception of anti social behaviour by utilising the Safer Ryedale website and a yearly street survey to be carried out in September 2012	Gail Cook	March 2013	Green	Green	Green	
Make full use of media and other publicity vehicles to reduce crime and fear of crime	Steering Group	March 2013	Green	Green	Green	
Comments:						
<p>Qtr 1 – Inspector Everitt and Sandi Clark are currently liaising with RDC Street Scene, Shaun Harrison and GMI Holbeck regarding placing concrete planters in the car park to prevent anti-social behaviour. The street survey will be held week commencing Monday 17th September. Press releases – Licensees meeting at Milton Rooms.</p> <p>Qtr 2 – Wentworth Street Car Park – Enforcement action taken by local Police Officers and members of the Road Safety Action Team, as a result of driver behaviour or construction and use offences. The uncertainty of the future of Wentworth Street car park is currently preventing any meaningful prevention work in terms of preventing physical access but it is hoped that the future of the car park will emerge following the public enquiry. The car park will continue to be a priority issue for the partnership due to the quality of life of town centre residents.</p> <p>Ongoing regular press briefing at Malton Police Station with the G&H and Mercury. Safer Ryedale and local Inspector feature regularly in local press.</p> <p>Qtr 3 – Council Members have confirmed that the sale of Wentworth Street car park will not currently be going ahead, therefore, ASB issues will remain. ASB complaints to NYP around the use of motor vehicles in the car park continue and regular patrols and Section 59 warnings are given daily. Officers are also observing behaviour and covertly to establish offences and offenders. PCSO Audie Sellers is co-ordinating a multi agency problem solving activity day in the car park which will involve agencies such as the fire service. Inspector Everitt has a meeting with Beckie Bennett on 15th January to discuss the placing of further barriers in the car park to prevent the number of people gathering and using this facility.</p> <p>Consultation – 602 Ryedale residents completed a questionnaire about issues concerning them in their neighbourhood.</p> <p>The results revealed that 95% of people felt that the crime level in Ryedale was low or fairly low compared with national crime levels. Of those questioned 98% felt that Ryedale was a safe place to live.</p> <p>Speeding motorists and dog mess were the two main complaints with 55.7% and 42% of respondents saying this was an issue for them followed by 33.57% concerned about traffic parking. Residents continue to raise concerns regarding litter.</p>						

Ongoing regular press briefing at Malton Police Station with the G&H and Mercury. Safer Ryedale and local Inspector feature regularly in local press. I.e. Christmas menu and mini arc campaign.

Objective 3: To target young offenders, vulnerable people and those at risk of offending through support, education and diversionary activities to reduce incidents of repeat offending.

Action	Who	When	30/06/12	30/09/12	31/12/12	31/03/13
Maintain baseline data of young offenders and others on ASBO, ABC AND MAPS groups	Sandi Clark	March 2013	Green	Green	Green	
Work with the Police to assess complaints of anti-social behaviour, address through problem solving and deliver interventions	Sandi Clark	March 2013	Green	Green	Green	
Ensure continuance of the MAPS Groups by close liaison with four secondary schools, attendance at all meetings and look to roll out into Primary Schools	Sandi Clark	March 2013	Green	Green	Green	
To increase the number of referrals to Youth Support Service through the re-adoption of YACAB referrals	Sandi Clark	March 2013	Green	Green	Green	

Comments:

Qtr 1 – Neighbour Disputes – Pickering 1, Kirkbymoorside 2, Levisham 1, Ebberston 1, Malton 4, Norton 1, Rillington 1, Helmsley 1 (affecting 5 families)
ASB Reports 7, ASB Orders 5, ABC 0, ASB Warning Ltrs 0

Multi-Agency Problem Solving Groups (MAPS) in Ryedale Secondary Schools, Malton School – Meeting held on 12 June – 16 young people discussed, Lady Lumley's – Meeting held on 29 May – 12 young people discussed, Ryedale – PC Steve Leach and PCSO Tracy Brown still visit the school on a regular basis and until such time as a MAPS is reinstated in the school, they keep up to date with any problems.

DYO Panel Youth Justice Service/Probation - Ryedale currently has two young people on the panel who are of concern (1 male and 1 female).

Castlegate community group has completed the first set of projects that it set last year and the lamppost banners and flower baskets are now in place. A community meeting has been arranged for 2 July 2012 to address a number of issues raised by tenants living in Chandlers Wharf.

General Traveller Issues - All agencies are gearing up for annual visit by travelling families heading for Seamer Horse Fair. Gateway – Horton Housing – Gypsy Traveller Support - was recognised that there was no informal drop-in place in Ryedale for travellers and gypsies to obtain advice on housing and community safety, RDC and Horton Housing agreed together to provide a service based at Rainbow Lane Community Centre. Drop in dates had been set to the year end.

Qtr 2 - Neighbourhood disputes/community concerns -Pickering – 1, Kirkbymoorside – 2, Levisham – 1, Malton – 4 – (1 involving Chandlers Wharf 18 properties) Norton – 2, Helmsley – 1- affecting 5 families, Sherburn – 1, Westow – 1, West Knapton – 1- including many members of the village

Anti Social Behaviour Orders: as at 30.09.12 - 5 **Current.**

Acceptable Behaviour Contracts: as at 30.09.12

1 - Chevin Housing tenancy – Chandlers Wharf

Anti Social Behaviour Awareness/Warning letters: 147

Multi-agency Problem Solving (MAPS) groups in Ryedale Secondary Schools

Malton School – Last meeting Tuesday 12th June, 16 young people discussed. Most issues around, exclusions (3), poor attendance and unruly behaviour. Some with reference to drugs and alcohol use which create mood swings and problems accepting direction from teachers.

Norton College – Meetings reinstated MAPS at Norton College. Meeting held 30th March.- 10 young people discussed Next meeting due to take place in the new school term.

Lady Lumleys – Meeting held – 12 **young people** discussed.

Ryedale – Mark McCandless feels that meetings of this kind should only take place as and when they perceive a problem. I am assured that I will be contacted should this prove to ever be the case. I am unhappy with this response. PC Steve Leach and PCSO Tracy Brown still visit the school on a regular basis and until such time a MAPS is reinstated in the school, they keep up to date with any problems.

DYO (Deter Young Offenders) Panel – Youth Justice Service/Probation – Scarborough

The aim of the DYO scheme is to ensure that the local CJS supports the wider partnership efforts to reduce the re-offending of this high risk group of young offenders. The DYO scheme is characterised by a risk based approach and early local identification of offenders followed by intensive intervention to reduce re-offending.

Ryedale have two young people on the DYO panel currently, who are of extra concern. (1 male, 1 female).

It has been decided to take the young male off the DYO panel but he will still receive support from his probation worker.

Next meeting 24th October 2012.

Eastgate Car Park. Pickering - Mosquito

Ryedale – Mark McCandless feels that meetings of this kind should only take place as and when they perceive a problem. I am assured that I will be contacted should this prove to ever be the case. I am unhappy with this response. PC Steve Leach and PCSO Tracy Brown still visit the school on a regular basis and until such time a MAPS is reinstated in the school, they keep up to date with any problems.

Eastgate Car Park, Pickering - Mosquito

All working fine. No reports of any incidents.

Wentworth Street Car Park – Increase in vehicle related nuisance. Some complaints made about driver behaviour in and around Wentworth Street Car Park. We are tasked to get together with the police and come up with an interim solution to the vehicle related asb occurring in Wentworth Street Car Park.

Castlegate

Castlegate community group, have completed the works that it set for itself last year, and the lamppost banners and flowers are now in place. There have been problems reported involving some of the tenants living in Chandlers Wharf who have issues which they feel have been ignored by the landlords, Chevin Housing. After receiving a number of letters of complaint regarding vermin, damage to property, and drink related asb, it was decided to invite the housing officer for Chevin Housing to a community meeting to address the issued raised in the letters. Police mobile office visited Chandlers Wharf Monday 30th July.

Next mobile police office visit to Chandlers Wharf - October 10th

Roadside Traveller Family on ASBO

Family arrived at the Cattle Grid, Flaxton on the 19th September. There have been many complaints whilst the family have been in the Cattle Grid area. During the weekend of 29th September, two horses were reported to be loose and on the road, and the stallion was ensnared in wire and had to be cut free by two local farmers. This has been reported to RSPCA.

General Traveller Issues

Following the community complaints in the Knapton area, we are asked for an assurance that we will consider making the wide verge at Knapton inaccessible next year, to travellers arriving in Ryedale for Seamer Horse Fair, Malton Show, and Pickering Traction Engine Rally. Large amount of litter collected by West Knapton residents.

Qtr 3 – Neighbourhood disputes and complaints.

Pickering – 2, Kirkbymoorside – 2, Malton – 4 – (1 involving Chandlers Wharf 18 properties), Norton – 3, Helmsley – 1- affecting 5 families
Westow – 1, West Knapton – 1- including many members of the village, Rillington - 1

Community reports of Anti Social Behaviour

Anti Social Behaviour Orders: as at 30.12.12 - 5 Current.

Acceptable Behaviour Contracts: as at 30.12.12 - 2

Multi-agency Problem Solving (MAPS) groups in Ryedale Schools

Malton School – next meeting January 22nd

Norton College – to be confirmed

Lady Lumleys School - next meeting February actual date to be confirmed.

Malton Primary – next meeting 15th Jan

Norton Primary – meeting to be confirmed

38 pupils across the 3 schools discussed. Most issues are around, exclusions, poor attendance and unruly behaviour. Some with reference to drugs and alcohol use which create mood swings and problems accepting direction from teachers.

Ryedale School has still to re-engage with the project. There are concerns from the police that incidents are not being dealt with and early interventions are being prevented from taking place. PC Steve Leach and PCSO Tracy Brown have spoken to Mark McCandless, the head teacher, and he is happy to re-instate MAPS.

Malton and Norton Primary Schools are now becoming part of the MAPS 'family' in Ryedale, and we are pleased to have the opportunity to address any growing trends in anti social behaviour at an earlier age.

DYO (Deter Young Offenders) Panel – Youth Justice Service/Probation – Scarborough

The aim of the DYO scheme is to ensure that the local CJS supports the wider partnership efforts to reduce the re-offending of this high risk group of young offenders. The DYO scheme is characterised by a risk based approach and early local identification of offenders followed by intensive intervention to reduce re-offending.

Ryedale have one young person on the DYO panel currently, who are of extra concern. (1 female). We have 18 new people for consideration for this panel over the two areas. 3 of them are from Ryedale.

Eastgate Car Park, Pickering - Mosquito

All working fine. No reports of any incidents.

Wentworth Street Car Park – Increase in vehicle related nuisance. Some complaints made about driver behaviour in and around Wentworth Street Car Park. We are tasked to get together with the police and come up with an interim solution to the vehicle related asb occurring in Wentworth Street Car Park. As the proposed purchaser has currently no interest in helping out with making temporary changes to the car park, we are currently re-visiting previous plans to put concrete barriers in a small portion of the car park, which would be the only accessible part of the car park after 1800hrs. PCSO Audie Sellars has been tasked with re-addressing this issue and we will give him as much assistance as we can.

Castlegate

Castlegate community group, have completed the works that it set for itself last year, and the lamppost banners and flowers are now in place. There have been problems reported involving some of the tenants living in Chandlers Wharf who have issues which they feel have been ignored by the landlords, Chevin Housing. After receiving a number of letters of complaint regarding this, PC Jane Jones and myself decided to invite the housing officer for Chevin Housing, Mr. Steve Watson, to a community meeting to address the issues raised in the letters.

Police mobile office visited Chandlers Wharf Monday 30th July and 10th October. Residents angry and made their points of view clear. We are tasked to approach Chevin Housing and search for a solution to the break down in Community Cohesion. There is a lot of Alcoholism involved in this small number of houses and this seems to be at the root of most of the ASB. (approx 15 residents have alcohol dependency).

There are allegations of a rat problem and this issue is being dealt with by environmental health and the resident responsible for feeding and encouraging them has been warned about feeding and encouraging them. We have addressed several of the complaints from this community already. To try to address dog fouling in the area, we have had 'no dog fouling' signs sprayed on to the lane that runs from the complex to Morrisons supermarket. We have visited a lady who was feeling threatened by a neighbour, to reassure her that we are making all efforts for her to be moved to another flat as was her request. The offender has been placed on an ABC.

A new housing officer for chandlers Wharf has been appointed by Chevin Housing from December 2012. Her name is Louisa Parker. PC Jane Jones and myself will meet with her and bring her up to speed on the feelings of the residents and the plans that we have for dealing with issues arising in the future.

Roadside Traveller Family

Family currently encamped at Pottery Lane, Strensall. Whilst at Flaxton and Harton recently, there were a number of complaints about lack of care and control of the horses. Head of family has been arrested and charged for driving without a license.

General Traveller Issues

Following the community complaints in the Knapton area, we are asked for an assurance that we will consider making the wide verge at Knapton inaccessible next year, to travellers arriving in Ryedale for Seamer Horse Fair, Malton Show, and Pickering Traction Engine Rally. Large amount of litter collected by West Knapton residents. Group to meet January 21st to consider the implications for next year.

Gateway – Horton Housing – Gypsy Traveller Support

The dates for the Rainbow Centre drop in are set until the end of next year. Good take up so far. Community Safety, Police, Housing Options all attending.

Alcohol Harm Reduction

Aim: To deliver the contents of the previous Alcohol Harm Reduction Strategy and develop the new strategy for the Ryedale District.

Indicators:	Baselines & Targets	30/06/12	30/09/12	31/12/12	31/03/13	
Violent Crime	9/10 b/l 400 reduce 3.5% per yr	83	167	239		
Criminal Damage	9/10b/l 397 reduce by 3% per yr	-	144	178		
Objective 1: To continue to raise awareness on the consequences to individual's health and community welfare.						
Action	Who	When	30/06/12	30/09/12	31/12/12	31/03/13
Review the Alcohol Harm Reduction Strategy and align with the County Strategy (All)	Steering Group	On going	Green	Green	Green	
Carry out a Mini ARC Campaign in Malton (All)	Steering Group	On going	Green	Green	Green	
Link with the Cambridge Centre and A&E Manager to feedback data to the Steering Group	Cambridge Centre	On going	Green	Green	Green	
Link in with the schools survey in order to identify the need for further intervention	Youth Support Service	On going	Green	Green	Green	
Explore opportunities to raise local awareness and link with the Cambridge Centre/NYP	AA Representative	On going	Green	Green	Green	

Comments:

Qtr 1 – Safer Ryedale currently working with Clare Mckinley (TS) regarding the Mini Arc Campaign. Trading Standards have carried out an underage text purchases in Malton – 1 pass, 1 fail – investigation in progress. The Information Sharing Protocol has now been signed.

Qtr 2 – Trading Standards – Formal caution given to member of staff at Malton Pub for an underage sale, Trading Standards also attended Pubwatch meetings in Malton and Pickering.

Mini ARC Campaign in Malton/Norton work completed so far - press release issued, info on Safer Ryedale website and letters sent to all Licensed premises, mid October Trading Standards Test Purchasing in Malton/Norton, 20 October Multi Agency Evening with CSP media vehicle in Malton Market Place including Trading Standards, Cambridge Centre, Youth Service Youth Worker, Safer Ryedale and Malton School. 9 November - Multi Agency Evening with Trading Standards and Cambridge Centre.

Qtr 3 – 1st December another mini arc campaign was carried out in Malton involving Trading Standards, Safer Ryedale, Cambridge Centre, AA, Malton Secondary School and RDC Housing Options. Hot spots where young people gather to drink were visited and test purchases were run in licensed premises, the mobile community local police office was placed in Malton market place and used as a base for the operation.

In Decembers Alcohol Harm Reduction meeting it was identified that many of Ryedale's vulnerable people resided at Bridge House and YMCA, Managers of both premises were at the meeting and expressed concerns that young people were drinking excessively and had not learned from the recent drink/drugs death in Bridge House, the problem was discussed and supported action agreed which led to a multi agency task and finish group. Opportunities for raising awareness are being realised by utilising an AA Member in some of our 6th forms and local intervention work with SMT officers.

Most Ryedale beat managers and PCSO's attended an Alcohol Intervention Programme run by the Cambridge Centre prior to Christmas and are now using this knowledge to educate their communities.

Objective 2: To reduce the number of repeat offenders linked to alcohol related offences.

Action	Who	When	30/06/12	30/09/12	31/12/12	31/03/13
Maintain links to the Ryedale Women's Hub in order to assess its impact on re-offending	Steering Group	On going	Green	Green	Green	
To determine how the steering group can make the best use of the data available	Steering Group	On going	Green	Green	Green	
To increase the amount of Alternative Disposals with regard to alcohol related offences	Steering Group	On going	Green	Green	Green	
To establish links to the After Math Project	Youth Support Service	On going	Green	Green	Green	

Comments:-

Qtr 1 – Sandi has liaised with Scarborough BC and set up 1 in 4 After Math projects in Norton (old courthouse). Work ongoing with PC and PCSO's regarding Alternative Disposals and referrals to Compass. Additional links now made to Women's Hub through RVA and Seachange including volunteers being placed in Miss Elainies (Women's Centre shop in Scarborough).

Qtr 2 – Steering Group meeting organised to look at how we can expand the Ryedale Women's Hub. Restorative Justice – Sgt John Clayton is now the Restorative Justice Champion for Ryedale, working with all partners on this scheme. The Steering Group will be looking at opportunities to lever Alternative Disposal programmes for alcohol related offences for offenders involving with the Cambridge Centre. The group will also be looking at links between those people and alcohol and referral to the Cambridge Centre for help and guidance. Binge drinking and pre loading are as important as alcohol dependence.

Qtr 3 – Ryedale Police conducted numerous drink drive checks throughout the Christmas period which included persons who we are targeting as a result of intelligence and also random checks. Unfortunately a number of arrests were made for drink driving in Ryedale over the Christmas period and this evidences the continuing push on drink driving offences within the District. A positive media message went out from Inspector Everitt asking people to properly manage themselves when out in the night time economy when out over the Christmas period, the number of alcohol incidents were relatively low throughout the period and examples the impact on the positive work being undertaken.

Ryedale Women's Hub - This project works with women already in the criminal justice system and other vulnerable women in Scarborough, Whitby and Ryedale. It helps them to tackle issues in their lives – like crime, drug or alcohol misuse or involvement in abusive relationships - that can result in them being involved in the criminal justice system. Help ranges from tackling drug or alcohol misuse to finding better accommodation, education, training and work to assist victims of domestic abuse.

Although the Womens Project in Scarborough also covers Ryedale, there has been little or no take up mainly due to transport issues. In order to introduce the project gradually to the area, we have decided, in conjunction with Scarborough, to advertise and run a 'pop up shop' for childrens and ladies clothes at which the services available will also be highlighted. The first of these will take place at Community House in Malton, hopefully followed by one in Kirkbymoorside, Pickering and Helmsley.

Objective 3: To reduce the level of alcohol related crime and disorder offences

Action	Who	When	30/06/12	30/09/12	31/12/12	31/03/13
Continue to monitor places where young people gather to drink, Youth Support Service and NYP to share information	Steering Group	On going	Green	Green	Green	
Continue the media programme for the district, promoting links to national campaigns/initiatives and obtain merchandise from Drink Aware	Steering Group	On going	Green	Green	Green	

NYP to distribute information and explore opportunities to hand out AA/Cambridge Centre literature	Steering Group	On going	Green	Green	Green	
Targeted outreach work in Pickering/Malton/Norton with referrals to the Youth Support Service.	Steering Group	On going	Green	Green	Green	
Targeted patrols around underage drinking inside licensed premises	Cambridge Centre/TS/NYP	On going	Green	Green	Green	
NYP and Cambridge Centre sharing data information on arrests involving alcohol. Followed up with targeted action or letter.	Steering Group	On going	Green	Green	Green	
Offer an incentive of a shorter ban to people barred from licensed premises (ie brief intervention with Cambridge Centre). Also link with AA and NA	Steering Group	On going	Green	Green	Green	
Partnership to support a rejuvenated Pubwatch Scheme in Malton/Norton that includes agreed standards of behaviour and unacceptable conduct by customers. Carry out early intervention through police patrols, CCTV, apex radios and increased communication with door staff. Identify those groups and individuals who may cause problems at an early stage and make positive interventions to prevent incidents occurring. Support a 'banned from one banned from all' approach. Licensees, door staff and partner agencies to take collective responsibility in promoting a safe night time economy by working more closely together.	Steering Group	On going	Green	Green	Green	

Comments:

Qtr 1 – NYP officers now distributing AA cards to people involved in alcohol related offences. Targetted patrols ongoing especially in the Malton/Norton area, NYP working closely with Trading Standards and RDC/NYP Licensing Departments.

The first Pubwatch meeting was successful, a new chairman was elected and a second meeting date set in August where the terms and conditions of the Pubwatch scheme will be agreed.

Qtr 2 – A recovering alcoholic now attends our Steering Group meeting. Police Officers are issued with cards giving information of a well known help line, when officers attend an incident these are issued to people where alcohol is a factor, also issued at Neighbour and Domestic reconciliation meetings where alcohol is a factor. Our Recovering Alcoholic will be accompanying Police and agencies to hot spots ASB areas where alcohol is known to be consumed. Alcohol consumption and links with the night time violence remains a priority for Safer Ryedale.

Beat Managers, PCSO's continue to visit places where young people consume alcohol. Referrals made including contact with parents, anti social behaviour has seen a large reduction of 32 this year which is attributable to this continuing targeted work. Compass only carry out tier 3 work through referrals, therefore, no outreach work is being done by Compass.

Qtr 3 – NYP have collated all data on alcohol related arrests in Ryedale throughout 2012.

The incentive of a shorter ban to people barred from licensed premises through Pubwatch will form part of a community resolution drive in 2013/14, consultation still needs to take place with Pubwatch members.

Street Angels will have a positive impact in engaging with individuals and groups throughout the night time economy and will assist in reducing the amount of violent incidents.

Close scrutiny on all licensed premises continues and the licensing review committee will be utilised wherever appropriate.

Community Development

Aim: To encourage community participation to inform Safer Ryedale's work.

Indicators:	30/06/12	30/09/12	31/12/12	31/03/13		
Objective 1: To develop opportunities to involve the community in raising concerns, setting priorities and to receive information on Safer Ryedale's Work						
Action	Who	When	30/06/12	30/09/12	31/12/12	31/03/13
Gather community concerns and feedback via website questionnaire ie street survey	CPO	Ongoing	Green	Green	Green	
Monitor numbers of Neighbourhood Watch Co-ordinators	CPO	Ongoing	Green	Green	Green	
Update the Safer Ryedale website	CPO	Ongoing	Green	Green	Green	
Provide a Safer Ryedale update at Community and Police meetings and Regional/NY NHW meetings	CPO	Ongoing	Green	Green	Green	
Comments:						
Qtr 1 – No of NHW Co-ordinators 345, Business Watch 6, Gold Watch 11, Horse Watch 17, Post Office Watch 11, Pub Watch 93, Rural Watch 302, School 22, Shop Watch 72, Taxi Watch 5 Total 884. Ongoing updates on the Safer Ryedale website and continuation of attendance and reporting at the Community and Police meetings. The annual street survey is now booked and work ongoing with organisations involved with regard to the draft questionnaire.						
Qtr 2 – No of NHW Co-ordinators 341, Business Watch 9, Gold Watch 11, Horse Watch 18, Post Office Watch 11, Pub Watch 90, Rural Watch 303, School 23, Shop Watch 65, Taxi Watch 6 Total 877.						
Community Consultation Results show 602 residents completed the questionnaire, 17% of which were 19 and under, 95% of people felt that the crime level in Ryedale was low or fairly low, 98% of people felt that Ryedale was a safe place to live. Speeding Motorists 55.7% and Dog Mess 42% were the two main complaints, followed by Traffic Parking 33.5%. Residents continue to raise concerns regarding litter and street lighting. 0						

Qtr 3 - No of NHW Co-ordinators 336, Business Watch 10, Gold Watch 11, Horse Watch 59, Post Office Watch 10, Pub Watch 88, Rural Watch 288, School 23, Shop Watch 65, Taxi Watch 6 Total 896. NHW Presentations at Terrington VH and Sand Hutton & Claxton VH.

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PART A:	MATTERS DEALT WITH UNDER DELEGATED POWERS
REPORT TO:	OVERVIEW AND SCRUTINY COMMITTEE
DATE:	21 FEBRUARY 2013
REPORT OF THE:	COUNCIL SOLICITOR ANTHONY WINSHIP
TITLE OF REPORT:	SCRUTINY REVIEWS PROGRESS REPORT – ‘THE ROLE OF MEMBERS ON OUTSIDE BODIES AND AS MEMBER CHAMPIONS’
WARDS AFFECTED:	ALL

EXECUTIVE SUMMARY

1.0 PURPOSE OF REPORT

- 1.1 To provide an update on progress with the scrutiny review of the Role of Members on Outside Bodies and as Member Champions.

2.0 RECOMMENDATIONS

- 2.1 It is recommended that:

- (i) The revised terms of reference, attached as Annex A and recommended by the Scrutiny Review Task Group, be adopted for the review; and
- (ii) Progress with the review be noted.

3.0 REASON FOR RECOMMENDATIONS

- 3.1 To keep the Overview and Scrutiny Committee informed of progress with the review it has commissioned.

4.0 SIGNIFICANT RISKS

- 4.1 No significant risks have been identified at this point but this will be reviewed as the review progresses.

5.0 POLICY CONTEXT AND CONSULTATION

- 5.1 This review links into corporate aim 5 (to transform the Council) and strategic objectives 9 (to know our communities and meet their needs) and 10 (to develop the leadership, capacity and capability to deliver future improvements).

5.2 The consultation planned is detailed in paragraph 6.2 below.

REPORT

6.0 REPORT DETAILS

6.1 The Scrutiny Review Task Group considered the documents provided to them, which were:

- Scope of the review (as agreed at the Overview & Scrutiny Committee on 13 December 2012)
- Terms of reference for the review (as attached to the report to the above Committee)
- List of outside bodies and representatives for 2012/13
- Feedback from Members on their roles on outside bodies (gathered Feb-March 2012)
- List of Member Champions for 2012/13
- Member Champion role description

6.2 They then discussed next steps for the review, which principally were conducting surveys of both Members and outside bodies to seek views and information. A series of questions were suggested for inclusion in each of these surveys. In addition, information was requested from other North Yorkshire District Councils on the role of Member Champions at those authorities.

6.3 During the course of the discussions, some amendments were suggested to the scope of the review. These were to allow the review to look at organisations which receive Council funding but do not have any Council representation on them, and to focus on paperless methods of making information available about outside bodies. These amendments are indicated in the revised terms of reference attached as Annex A of the report, and which the Committee is asked to adopt.

7.0 IMPLICATIONS

7.1 The following implications have been identified:

- a) Financial
None
- b) Legal
None
- c) Other (Equalities, Staffing, Planning, Health & Safety, Environmental, Crime & Disorder)
None

8.0 NEXT STEPS

8.1 The Scrutiny Review Task Group will meet again on 6 March 2013 to consider the information gathered.

**Anthony Winship
Council Solicitor**

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Background Papers:

As detailed in paragraph 6.1.

Background Papers are available for inspection at:

Ryedale House, Malton or www.ryedale.gov.uk.

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Terms of Reference for a Scrutiny Review of the Role of Members on Outside Bodies and as Member Champions

Aim of the Review	To make best use of the Council's corporate systems and of the Council's resources such as member and officer time and expertise in relation to representation of the Council on outside bodies and as member champions.
Scope of the Review	<p>That the scope for the review be as follows:</p> <ul style="list-style-type: none"> • To review the list of outside bodies and consider if representation on all of them is beneficial to the Council and Ryedale. • To find out more about the outside bodies on which RDC is represented including remit of body, frequency, timing and venue of meetings, any trustee role and requirements, phone and email contacts, and websites. • To explore the use of the modern.gov. committee management system to best effect to provide more information about outside bodies to both members and the public. • To consider any conflicts of interest for Members by sitting on outside bodies where they undertake a trustee role and how this may impact on members decisions making role within the Council. • To consider paperless ways of making more information about the activities of outside bodies accessible to Members. • To understand the role of member champions and review the themes of these. • To estimate the cost of representation on outside bodies to the Council and identify the value this may add for the Council and Ryedale. • To investigate how we can ensure Members appropriate skills are used when assigning Members to organisations. • To consider whether appointments to outside bodies should be political. • To prepare a recommendation for Council on if and when these appointments should be political. • To consider how many organisations receive regular Council funding and how many of these do not have either Member or officer Council representation on them.
Why has this review been selected?	<p>The topic of this review has been selected as a consequence of the work undertaken to review the role of the council in supporting a sustainable community and voluntary sector and follows on from one of the recommendations:</p> <p>'That a review be undertaken to define members roles as champions and board members of voluntary and community organisations'</p>
Who will carry out the review?	<p>The review will be carried out by a task group including:</p> <ul style="list-style-type: none"> • A minimum of 2 members of the O and S committee (but open to all members of O and S) • The Democratic Services Manager • The Council Solicitor • Support will be provided by members of the Business Improvement Team
How the review will be carried out?	<p>The task group will undertake the following activities:</p> <ul style="list-style-type: none"> • An audit of current representation on outside bodies, roles of members and requirements of those bodies. • A review of feedback from current member champions and representatives on outside bodies to inform future representation by

	<p>members of the Council. To clarify the role and expectations of members understanding of their role as a trustee.</p> <ul style="list-style-type: none"> • A review of the member champion job description • A review of the role officers should play in supporting members in their role as representative, trustee or member champion.
What are the expected outputs?	It is expected that the task group will produce a report, summarising the evidence they have gathered and containing specific recommendations for the council's policy committees and management team.
Timescale	It is anticipated that the group will conclude the outcomes of the review in April 2013. Progress reports will be submitted to the committee throughout the review.

OVERVIEW AND SCRUTINY ITEM, FOR CONSIDERATION PRIOR TO FULL COUNCIL

**RYEDALE
DISTRICT
COUNCIL**



REPORT TO:	COUNCIL
DATE:	26 FEBRUARY 2013
REPORT OF THE:	CORPORATE DIRECTOR (s151) PAUL CRESSWELL
TITLE OF REPORT:	TREASURY MANAGEMENT STRATEGY STATEMENT AND ANNUAL INVESTMENT STRATEGY 2013/14
WARDS AFFECTED:	ALL

EXECUTIVE SUMMARY

1.0 PURPOSE OF REPORT

- 1.1 To consider the Treasury Management and Annual Investment Strategies, the Minimum Revenue Provision Policy and set the Prudential Indicators for 2013/14.

2.0 RECOMMENDATIONS

- 2.1 That Council is recommended to approve:
- (i) Members receive this report;
 - (ii) The Treasury Management and Investment Strategies be noted and approved by the Council;
 - (iii) The Minimum Revenue Provision Policy Statement be approved by the Council and;
 - (iii) That the Prudential Indicators in the report be approved by the Council.

3.0 REASON FOR RECOMMENDATIONS

- 3.1 The Chartered Institute of Public Finance & Accountancy (CIPFA) Code of Practice on Treasury Management in Local Authorities (The Code) was adopted by the Council.
- 3.2 The Local Government Act 2003 and supporting regulations requires the Council to have regard to specified codes of practice, namely the CIPFA publications *Prudential Code for Capital Finance in Local Authorities* and *Treasury Management in the Public Services; Code of Practice and Cross Sectoral Guidance Notes*.

4.0 SIGNIFICANT RISKS

- 4.1 There are significant risks when investing public funds especially with unknown institutions. However, by the adoption of the CIPFA Code and a prudent investment policy, these are minimised. The employment of Treasury Advisors also helps reduce the risk.

5.0 POLICY CONTEXT AND CONSULTATION

- 5.1 The Council has adopted the CIPFA Code of Practice on Treasury Management in Local Authorities and this report complies with the requirements under this code and the relevant requirements of the Local Government Act 2003.
- 5.2 The Council use the services of Sector Treasury Services Limited to provide treasury management information and advice.

REPORT

6.0 REPORT DETAILS

- 6.1 The Council is required to operate a balanced budget, which broadly means that cash raised during the year will meet cash expenditure. Part of the treasury management operation is to ensure that this cash flow is adequately planned, with cash being available when it is needed. Surplus monies are invested in low risk counterparties or instruments commensurate with the Council's low risk appetite, providing adequate liquidity initially before considering investment return.
- 6.2 The second main function of the treasury management service is the funding of the Council's capital plans. These capital plans provide a guide to the borrowing need of the Council, essentially the longer term cash flow planning to ensure that the Council can meet its capital spending obligations. This management of longer term cash may involve arranging long or short term loans, or using longer term cash flow surpluses. On occasion any debt previously drawn may be restructured to meet Council risk or cost objectives.
- 6.3 CIPFA defines treasury management as: "The management of the local authority's investments and cash flows, its banking, money market and capital market transactions; the effective control of the risks associated with those activities; and the pursuit of optimum performance consistent with those risks."

Reporting Requirements

- 6.4 The Council is required to receive and approve, as a minimum, three main reports each year, which incorporate a variety of policies, estimates and actuals. These reports are required to be adequately scrutinised by committee before being recommended to the Council. This role is undertaken by the Overview and Scrutiny Committee.

Prudential and Treasury Indicators and Treasury Strategy (this report) – The first and most important report covers:

- The capital plans (including prudential indicators);
- A Minimum Revenue Provision Policy (how residual capital expenditure is charged to revenue over time);
- The Treasury Management Strategy (how the investments and borrowings are to be organised) including treasury indicators; and

- An investment strategy (the parameters on how investments are to be managed).

A Mid Year Treasury Management Report. This will update members with the progress of the capital position, amending prudential indicators as necessary, and whether the treasury strategy or whether any policies require revision.

An Annual Treasury Report. This provides details of a selection of actual prudential treasury indicators and actual treasury operations compared to the estimates within the strategy.

Treasury Management Strategy for 2013/14

6.5 The strategy for 2013/14 covers two main areas

Capital Issues

- The capital plans and prudential indicators
- The MRP strategy

Treasury Management Issues

- The current treasury position;
- Treasury indicators which will limit the treasury risk and activities of the Council;
- Prospects for interest rates;
- The borrowing strategy;
- Policy on borrowing in advance of need;
- The investment strategy; and
- Creditworthiness policy.

These elements cover the requirements of the Local Government Act 2003, the CIPFA Prudential Code, the CLG MRP Guidance, the CIPFA Treasury Management Code and the CLG Investment Guidance.

THE CAPITAL PRUDENTIAL INDICATORS 2013/14 – 2015/16

6.6 The Council's capital expenditure plans are the key driver of treasury management activity. The output of the capital expenditure plans are reflected in prudential indicators, which are designed to assist members overview and confirm capital expenditure plans.

6.7 **Capital Expenditure.** This prudential indicator is a summary of the Council's capital expenditure plans, both those agreed previously, and those forming part of this budget cycle. **Members are asked to approve the capital expenditure forecasts:**

Capital Expenditure	2011/12 Actual £m	2012/13 Estimate £m	2013/14 Estimate £m	2014/15 Estimate £m	2015/16 Estimate £m
Capital Programme	4.478	3.068	4.084	0.700	0.700

The above financing need excludes other long term liabilities, such as PFI and leasing arrangements which already included borrowing instruments.

6.8 The table below summarises the above capital expenditure plans and how these plans are being financed by capital or revenue resources. Any shortfall of resources results in a funding need (borrowing):

Capital Expenditure	2011/12 Actual £m	2012/13 Estimate £m	2013/14 Estimate £m	2014/15 Estimate £m	2015/16 Estimate £m
Total	4.478	3.068	4.084	0.700	0.700
Financed by:					
Capital receipts	-2.561	-0.010	-0.101	-0.316	-0.500
Capital grants	-0.470	-0.822	-1.687	-0.200	-0.200
Revenue	-1.447	-2.236	-0.226	-0.184	0
Net financing need for the year	0	0	2.070	0	0

6.9 **The Council's Borrowing Need (the Capital Financing Requirement)**

The second prudential indicator is the Council's Capital Financing Requirement (CFR). The CFR is simply the total historic outstanding capital expenditure which has not yet been paid for from either revenue or capital resources. It is essentially a measure of the Council's underlying borrowing need. Any capital expenditure above, which has not immediately been paid for, will increase the CFR.

- 6.10 Following accounting changes the CFR includes any other long term liabilities (e.g. PFI schemes, finance leases) brought onto the balance sheet. Whilst this increases the CFR, and therefore the Council's borrowing requirement, these types of scheme include a borrowing facility and so the Council is not required to separately borrow for these schemes. The Council currently has £0.473m of such schemes within the CFR.

The Council is asked to approve the CFR projections below:

£m	2011/12 Actual £m	2012/13 Estimate £m	2013/14 Estimate £m	2014/15 Estimate £m	2015/16 Estimate £m
Capital Financing Requirement					
CFR – non Housing	0.473	0.295	2.327	2.832	2.585
Total CFR	0.473	0.295	2.327	2.832	2.585
Movement in CFR	-0.166	-0.178	2.032	0.505	-0.247

Movement in CFR represented by					
Net financing need for the capital programme	0	0	2.070	0	0
Net financing need – other long term liabilities	0	0	0.154	0.770	0
Less MRP and other financing movements	-0.166	-0.178	-0.192	-0.265	-0.247
Movement in CFR	0.166	-0.178	2.032	0.505	-0.247

MRP Policy Statement

- 6.11 The Council is required to pay off an element of the accumulated General Fund capital spend each year (the CFR) through a revenue charge (the minimum revenue provision – MRP), although it is also allowed to undertake additional voluntary payments if required (voluntary revenue provision – VRP).
- 6.12 CLG Regulations have been issued which require the full Council to approve an MRP Policy Statement in advance of each year. A variety of options are provided to councils so long as there is a prudent provision. **The Council is recommended to approve the following MRP Statement.**

Certain expenditure reflected within the actual debt liability at 31 March 2012 will

under delegated powers be subject to MRP under option 3 of the guidance; this relates to the acquisition through finance lease of refuse and recycling vehicles and will be charged over a period which is commensurate with the life of the lease, using the annuity method.

For future borrowing, estimated life periods will be determined under delegated powers. To the extent that expenditure is not on the creation of an asset and is of a type that is subject to estimated life periods that are referred to in the guidance, these periods will generally be adopted by the Council. However, the Council reserves the right to determine useful life periods and prudent MRP in exceptional circumstances where the recommendations of the guidance would not be appropriate.

As some types of capital expenditure incurred by the Council are not capable of being related to an individual asset, asset lives will be assessed on a basis which most reasonably reflects the anticipated period of benefit that arises from the expenditure. Also, whatever type of expenditure is involved, it will be grouped together in a manner which reflects the nature of the main component of expenditure and will be divided up in cases where there are two or more major components with substantially different useful economic lives.

The Use of the Council's Resources and the Investment Position

- 6.13 The application of resources (capital receipts, reserves etc.) to either finance capital expenditure or other budget decisions to support the revenue budget will have an on-going impact on investments unless resources are supplemented each year from new sources (asset sales etc). Detailed below are estimates of the year end balances for each resource and anticipated day to day cash flow balances.

Year End Resources	2011/12 Actual £m	2012/13 Estimate £m	2013/14 Estimate £m	2014/15 Estimate £m	2015/16 Estimate £m
Fund balances / reserves	4.250	2.087	2.322	2.322	2.582
Capital receipts	0.127	0.137	1.206	0.920	0.450
Provisions	0	0	0	0	0
Other	0	0	0	0	0
Total core funds	4.377	2.224	3.528	3.242	3.032
Working capital*	1.123	1.150	1.150	1.150	1.150
Under/over borrowing	0	0	0	0	0
Expected Investments	5.500	3.374	4.678	4.392	4.182

*working capital balances shown are estimated year end; these may be higher mid year

Affordability Prudential Indicators

- 6.14 The previous sections cover the overall capital and control of borrowing prudential indicators, but within this framework prudential indicators are required to assess the affordability of the capital investment plans. These provide an indication of the impact of the capital investment plans on the Council's overall finances. **The Council is asked to approve the following indicators:**

Actual and estimates of the ratio of financing costs to net revenue stream. This indicator identifies the trend in the cost of capital (borrowing and other long term obligation costs net of investment income) against the net revenue stream.

%	2011/12 Actual	2012/13 Estimate	2013/14 Estimate	2014/15 Estimate	2015/16 Estimate
Non HRA	0.89%	1.57%	2.69%	2.57%	1.68%

The estimates of financing costs include current commitments and the proposals in this budget report.

Estimates of the incremental impact of capital investment decisions on council tax. This indicator identifies the revenue costs associated with proposed changes to the capital programme recommended in this budget report compared to the Council's existing approved commitments and current plans. The assumptions are based on the budget, but will invariably include some estimates, such as the level of Government support, which are not published over a three year period.

Incremental impact of capital investment decisions on the band D council tax

£	2013/14 Estimate	2014/15 Estimate	2015/16 Estimate
Council tax – band D	£4.15	£6.61	£6.60

TREASURY MANAGEMENT STRATEGY

- 6.15 The capital expenditure plans provide details of the service activity of the Council. The treasury management function ensures that the Council's cash is organised in accordance with the relevant professional codes, so that sufficient cash is available to meet the service activity. This will involve both the organisation of the cash flow and, where capital plans require, the organisation of appropriate borrowing facilities. The strategy covers the relevant treasury / prudential indicators, the current and projected debt positions and the annual investment strategy.

Current Portfolio Position

- 6.16 The Council's treasury portfolio position at 31 March 2012, with forward projections are summarised below. The table shows the actual external debt (the treasury management operations), against the underlying capital borrowing need (the Capital Financing Requirement – CFR), highlighting any over or under borrowing.

	2011/12 Actual £m	2012/13 Estimate £m	2013/14 Estimate £m	2014/15 Estimate £m	2015/16 Estimate £m
External Debt					
Debt at 1 April	0	0	0	2.070	2.016
Expected change in debt	0	0	2.070	-0.054	-0.055
Other long term liabilities (OLTL)	0.639	0.473	0.295	0.257	0.816
Expected change in OLTL	-0.166	-0.178	-0.038	0.559	-0.192
Actual gross debt at 31 March	0.473	0.295	2.327	2.832	2.585
Capital financing Requirement	0.473	0.295	2.327	2.832	2.585
Under / (over) borrowing	0	0	0	0	0

- 6.17 Within the prudential indicators there are a number of key indicators to ensure that the Council operates its activities within well defined limits. One of these is that the Council needs to ensure that its total debt net of any investments, does not, except in the short term, exceed the total of the CFR in the preceding year plus the estimates of any additional CFR for 2013/14 and the following two financial years (shown as net borrowing above). This allows some flexibility for limited early borrowing for future years, but ensures that borrowing is not undertaken for revenue purposes.
- 6.18 The Corporate Director (s151) reports that the Council complied with this prudential indicator in the current year and does not envisage difficulties for the future. This view

takes into account current commitments, existing plans, and the proposals in this budget report.

Treasury Indicators: Limits to Borrowing Activity

- 6.19 **The Operational Boundary.** This is the limit beyond which external debt is not normally expected to exceed. In most cases, this would be a similar figure to the CFR, but may be lower or higher depending on the levels of actual debt.

Operational Boundary	2012/13 Estimate £m	2013/14 Estimate £m	2014/15 Estimate £m	2015/16 Estimate £m
Debt	5.000	5.000	5.000	5.000
Other long term liabilities	0.600	0.300	1.100	0.900
Total	5.600	5.300	6.100	5.900

- 6.20 **The Authorised Limit for external debt.** A further key prudential indicator represents a control on the maximum level of borrowing. This represents a limit beyond which external debt is prohibited, and this limit needs to be set or revised by the full Council. It reflects the level of external debt which, while not desired, could be afforded in the short term, but is not sustainable in the longer term.

This is the statutory limit determined under section 3 (1) of the Local Government Act 2003. The Government retains an option to control either the total of all councils' plans or those of a specified council, although this power has not been exercised.

The Council is asked to approve the following Authorised Limit:

Authorised Limit	2012/13 Estimate £m	2013/14 Estimate £m	2014/15 Estimate £m	2015/16 Estimate £m
Debt	20.000	20.000	20.000	20.000
Other long term liabilities	1.000	1.000	2.000	2.000
Total	21.000	21.000	22.000	22.000

Prospects for Interest Rates

- 6.21 The Council has appointed Sector as its treasury advisor and part of their service is to assist the Council to formulate a view on interest rates. Annex G draws together a number of current city forecasts for short term (bank rate) and longer fixed interest rates. The following table gives the Sector central view

Annual Average%	Bank Rate	PWLB Borrowing Rates		
		5 Year	25 Year	50 Year
March 2013	0.50	1.50	3.80	4.00
June 2013	0.50	1.50	3.80	4.00
Sept 2013	0.50	1.60	3.80	4.00
Dec 2013	0.50	1.60	3.80	4.00
March 2014	0.50	1.70	3.90	4.10
June 2014	0.50	1.70	3.90	4.10
Sept 2014	0.50	1.80	4.00	4.20
Dec 2014	0.50	2.00	4.10	4.30
March 2015	0.75	2.20	4.30	4.50
June 2015	1.00	2.30	4.40	4.60

- 6.22 The economic recovery in the UK since 2008 has been the worst and slowest recovery in recent history, although the economy returned to positive growth in the

third quarter of 2012. Growth prospects are weak and consumer spending, the usual driving force of recovery, is likely to remain under pressure due to consumers focusing on repayment of debt, inflation eroding disposable income, general malaise about the economy and employment fears.

The Primary drivers of the UK economy are likely to remain external. 40% of UK exports go to the Eurozone so the difficulties in this area are likely to continue to hinder UK growth. The US, the main world economy, faces similar debt problems to the UK. The resulting US fiscal tightening and continuing Eurozone problems will depress UK growth and is likely to see the UK deficit reduction plans slip.

6.23 This challenging and uncertain economic outlook has several key treasury management implications:

- The Eurozone sovereign debt difficulties provide a clear indication of much high counterparty risk. This continues to suggest the use of higher quality counterparties for shorter time periods;
- Investment returns are likely to remain relatively low during 2013/14;
- Borrowing interest rates continue to be attractive and may remain relatively low for some time. The timing of any borrowing will need to be monitored carefully;
- There will remain a cost of capital – any borrowing undertaken that results in an increase in investments will incur a revenue loss between borrowing costs and investment returns.

Borrowing Strategy

6.24 The Council has resolved to borrow approximately £2m during 2013/14 as funding towards the 4 year capital programme, specifically as funding towards the A64 Brambling Fields Upgrade. Presently the cheapest method of borrowing is internal borrowing by running down cash balances and foregoing interest earned at historically low rates. However, in view of the overall forecast for long term borrowing rates to increase over the next few years, the Council will look to borrow externally from the PWLB in order to avoid the potential long term costs of taking loans at long term rates which will be higher in future years.

The Chief Financial Officer will monitor interest rates in conjunction with Sector and seek to borrow at the most advantageous point in time. Any decisions will be reported to the Policy and Resources Committee.

Treasury Management Limits on Activity

6.25 There are three debt related treasury activity limits. The purpose of these are to restrain the activity of the treasury function within certain limits, thereby managing risk and reducing the impact of any adverse movement in interest rates. However, if these are set to be too restrictive they will impair the opportunities to reduce costs / improve performance. The indicators are:

- Upper limits on variable interest rate exposure. This identifies a maximum limit for variable interest rates;
- Upper limits on fixed interest rate exposure. This is similar to the previous indicator and covers a maximum limit on fixed interest rates;
- Maturity structure of borrowing. These gross limits are set to reduce the Council's exposure to large fixed rate sums falling due for refinancing, and are required for upper and lower limits.

The Council is asked to approve the following treasury indicators and limits:

%	2013/14 £'000	2014/15 £'000	2015/16 £'000
Interest Rates Exposure	Upper	Upper	Upper
Borrowing:			
Limits on fixed interest rates	100%	100%	100%
Limits on variable interest rates	5%	5%	5%
Investments:			
Limits on fixed interest rates	100%	100%	100%
Limits on variable interest rates	50%	50%	50%
Maturity Structure of fixed interest rate borrowing 2013/14			
		Lower	Upper
		N/a	N/a

Policy on Borrowing in Advance of Need

- 6.26 The Council will not borrow more than or in advance of its needs purely in order to profit from the investment of the extra sums borrowed. Any decision to borrow in advance will be within forward approved Capital Financing Requirement estimates, and will be considered carefully to ensure that value for money can be demonstrated and that the Council can ensure the security of such funds.

ANNUAL INVESTMENT STRATEGY

Investment Policy

- 6.27 The Council's investment policy has regard to the CLGs Guidance on Local Government Investments ("the Guidance") and the 2011 revised CIPFA Treasury Management in Public Services Code of Practice and Cross Sectoral Guidance Notes ("the CIPFA TM Code") The Council's investment priorities will be security first, liquidity second, and then return.
- 6.28 In accordance with guidance from the CLG and CIPFA, and in order to minimise the risk to investments, the Council has below clearly stipulated the minimum acceptable credit quality of counterparties for inclusion on the lending list. The creditworthiness methodology used to create the counterparty list fully accounts for the ratings, watches and outlooks published by all three ratings agencies with a full understanding of what these reflect in the eyes of each agency. Using the Sector ratings service banks ratings are monitored on a real time basis with knowledge of any changes notified electronically as the agencies notify modifications.
- 6.29 Further the Council's officers recognise that ratings should not be the sole determinant of the quality of an institution and that it is important to continually assess and monitor the financial sector on both a micro and macro basis and in relation to the economic and political environments in which institutions operate. The assessment will also take account of information that reflects the opinion of the markets. To this end the Council will engage with its advisors to maintain a monitor on market pricing such as "Credit Default Swaps" and overlay that information on top of the credit ratings. This is fully integrated into the credit methodology provided by the advisors, Sector in producing its colour coding which show the varying degrees of creditworthiness.
- 6.30 The aim of the strategy is to generate a list of highly creditworthy counterparties which will also enable diversification and thus avoidance of concentration risk. The intention of the strategy is to provide security of investment and minimisation of risk.

6.31 Investment securities identified for use in the financial year are listed in Annex B under the Specified and Non-Specified Investments categories. Counterparty limits will be as set through the Council's Treasury Management Practices – schedules. The Council's current bankers, National Westminster Bank are excluded from these limits.

Creditworthiness Policy

6.32 This Council applies the creditworthiness service provided by Sector. This service employs a sophisticated modelling approach utilising credit ratings from all three main credit rating agencies - Fitch, Moodys and Standard and Poors. The credit ratings of counterparties are supplemented with the following overlays:

- Credit watches and credit outlooks from credit rating agencies;
- CDS spreads to give early warning of likely changes in credit ratings;
- Sovereign ratings to select counterparties from only the most creditworthy countries.

6.33 This modelling approach combines credit ratings, credit watches, and credit outlooks in a weighted scoring system which is then combined with an overlay of CDS spreads for which the end product is a series of colour code bands, which indicate the relative creditworthiness of counterparties. These colour codes are also used by the Council to determine the duration for investments. The Council will therefore use the counterparties within the following durational bands:

- Yellow 5 years *
- Purple 2 years
- Blue 1 year (only applies to nationalised or semi nationalised UK Banks)
- Orange 1 year
- Red 6 months
- Green 3 months
- No colour not to be used

* This category has been added for AAA rated Government debt or its equivalent.

6.34 The Sector creditworthiness service uses a wider array of information than just primary ratings and by using a risk weighted scoring system, does not give undue preponderance to just one agency ratings.

6.35 Typically the minimum credit ratings criteria the Council use will be a short term rating (Fitch or equivalents) of Short Term rating F1 , Long Term rating A-. Viability ratings of BB+, and a Support rating of 3. There may be occasions when the counterparty ratings from one rating agency are marginally lower than these ratings but may still be used. In these, instances consideration will be given to the whole range of ratings available, or other topical market information, to support their use.

6.36 All credit ratings will be monitored daily. The Council is alerted to changes to ratings of all three agencies through its use of the Sector creditworthiness service.

- If a downgrade results in the counterparty / investment scheme no longer meeting the Council's minimum criteria, its further use as a new investment will be with drawn immediately;
- In addition to the use of credit ratings the Council will be advised of information in movements in Credit Default Swap against the iTraxx benchmark and other market data on a weekly basis. Extreme market movements may result in

downgrade of an institution or removal from the lending list.

- 6.37 Sole reliance will not be placed on the use of this external service. In addition this Council will also use market data and market information, information on government support for banks and the credit ratings of that government support

Country Limits

- 6.38 The Council has determined that it will only use approved counterparties from countries with a minimum sovereign credit rating of AA- from Fitch Ratings (or equivalent from other agencies). The list of countries that qualify using this credit criteria as at the date of this report are shown in Annex C. This list will be added to or deducted from by officers should ratings change in accordance with this policy.

Investment Strategy to be followed In-house

- 6.39 Investments will be made with reference to the core balance and cash flow requirements and the outlook for short-term interest rates i.e. rates for investments up to 12 months.

- 6.40 **Investment returns expectations.** Bank Rate is forecast to remain unchanged at 0.5% before starting to rise from quarter 1 of 2015. Bank Rate forecasts for financial year ends (March) are:

- 2012/2013 0.50%
- 2013/2014 0.50%
- 2014/2015 0.75%
- 2015/2016 1.75%

There are down side risks to these forecasts (i.e. start of increases in bank rate is delayed even further) if economic growth remains weaker for longer than expected. However, should the pace of growth pick up more sharply than expected there could be upside risk, particularly if Bank of England inflation forecasts for two years ahead exceed the Bank of England's 2% target rate.

- 6.41 **Investment Treasury Indicator and limit** - total principal funds invested for greater than 364 days. These limits are set with regard to the Council's liquidity requirements and to reduce the need for early sale of an investment, and are based on the availability of funds after each year-end.

The Council is asked to approve the treasury indicator and limit:

Maximum principal sums invested > 364 days			
	2013/14	2014/15	2015/16
Principal sums invested > 364 days	£1.0m	£1.0m	£1.0m

- 6.42 For its cash flow generated balances, the Council will seek to utilise its business reserve accounts, 60 and 95 days notice accounts, money market funds and short dated deposits (overnight to three months) in order to benefit from the compounding of interest.

End of Year Investment Report

- 6.43 At the end of the financial year the Council will report on its investment activity as part of the Annual Treasury Report.

Policy on the use of external service providers

- 6.44 The Council uses Sector as its external treasury management advisors.

6.45 The Council recognises that responsibility for treasury management decisions remains with the organisation at all times and will ensure that undue reliance is not placed upon our external service providers.

6.46 It also recognises that there is value in employing external providers of treasury management services in order to acquire access to specialist skills and resources. The Council will ensure that the terms of their appointment and the methods by which their value will be assessed are properly agreed and documented, and subjected to regular review.

Scheme of Delegation

6.47 Please see Annex D.

Role of the section 151 officer

6.48 Please see Annex E.

7.0 IMPLICATIONS

7.1 The following implications have been identified:

a) Financial

The results of the investment strategy affect the funding of the Capital Programme.

b) Legal

There are no legal implications regarding this report.

c) Other (Equalities, Staffing, Planning, Health & Safety, Environmental, Crime & Disorder)

There are no legal implications regarding this report.

Paul Cresswell
Corporate Director (s151)

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Background Papers:
None

Background Papers are available for inspection at:
None

TREASURY MANAGEMENT STATEMENT AND INVESTMENT STRATEGY REPORT- RISK MATRIX – ANNEX A

Issue/Risk	Consequences if allowed to happen	Likelihood	Impact	Mitigation	Mitigated Likelihood	Mitigated Impact
Credit risk - associated with investing with financial institutions that do not meet the credit rating criteria.	Could mean loss of principal sum and interest accrued.	2	D	In response to the economic climate the Council have adopted a more stringent credit rating methodology.	1	D
Market risk - Selection of wrong type of investment for higher return.	The poor performance of the chosen investment.	2	B	The number of investment options was reduced in the 2012-13 Investment Strategy for this year and it is proposed that this will continue.	2	B
Liquidity risk - Use of fixed term deposits and / or instruments / investments with low marketability may mean a lack of liquidity	Unable to take advantage of better investment options. Funds are unavailable to cover capital spend.	1	B	The maturity profile shortened for investments in 2011-12. It is proposed that this will continue.	1	B

Score	Likelihood	Score	Impact
1	Very Low	A	Low
2	Not Likely	B	Minor
3	Likely	C	Medium
4	Very Likely	D	Major
5	Almost Certain	E	Disaster

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SPECIFIED AND NON-SPECIFIED INVESTMENTS

SPECIFIED INVESTMENTS:

All such investments will be sterling denominated, with **maturities up to maximum of 1 year**, meeting the minimum 'high' rating criteria where applicable.

The criteria, time limits and monetary limits applying to institutions or investment vehicles are:

Investment	Minimum Credit Criteria / Colour Band	£ limit per institution	Max maturity period
Debt Management Agency Deposit Facility	N/A	£2.5m	6 months
Term deposits – local authorities	N/A	£2.5m	1 year
Term deposits - UK part nationalised banks	Blue **	£3.0m	1 year
Term deposits – banks and building societies	Orange Red Green No colour	£2.5m	Up to 1 year Up to 6 months Up to 3 months Not for use
Money Market Funds	AAA	£2.5m	Liquid

**only applies to nationalised or semi nationalised UK Banks

NON-SPECIFIED INVESTMENTS

A maximum of £1.0m will be held in aggregate in non-specified investment

1. Maturities of ANY period

Investment	Minimum Credit Criteria / Colour Band	Maximum Investment	Maximum maturity period
Certificates of deposits issued by banks and building societies	Green	£1.0m	Up to 2 years
UK Government Gilts	Sovereign rating	£1.0m	Up to 2 years
Bonds issued by multilateral development banks	AAA	£1.0m	Up to 2 years
Bonds issued by a financial institution which is explicitly guaranteed by the UK government	Sovereign rating	£1.0m	Up to 2 years
Fixed term deposits with variable rate and variable maturities:			
Structured deposits	Green	£1.0m	Up to 2 years
Commercial paper issuance by UK banks covered by UK Government guarantee	Green	£1.0m	Up to 2 years
Other debt issuance by UK banks covered by UK Government guarantee	Green	£1.0m	Up to 2 years

2. Maturities in excess of 1 year

Investment	Minimum Credit Criteria	Maximum Investment	Maximum maturity period
Term deposits – local authorities	N/A	£1.0m	Up to 2 Years
Term deposits – banks and building societies	Purple	£1.0m	Up to 2 Years

APPROVED COUNTRIES FOR INVESTMENT

Based on lowest available rating

AAA Rating

- Australia
- Canada
- Denmark
- Finland
- Germany
- Luxembourg
- Netherlands
- Norway
- Singapore
- Sweden
- Switzerland
- U.K.

AA+ Rating

- France
- Hong Kong
- U.S.A.

AA Rating

- Abu Dhabi
- Qatar
- UAE

AA- Rating

- Belgium
- Japan
- Saudi Arabia

TREASURY MANAGEMENT SCHEME OF DELEGATION

1. Full Council

- receiving and reviewing reports on treasury management policies, practices and activities;
- approval of annual strategy.

2. Policy and Resources Committee

- approval of/amendments to the organisation's adopted clauses, treasury management policy statement and treasury management practices;
- budget consideration and approval;
- approval of the division of responsibilities;
- receiving and reviewing regular monitoring reports and acting on recommendations;
- approving the selection of external service providers and agreeing terms of appointment.

3. Overview and Scrutiny Committee

- reviewing the treasury management policy and procedures and making recommendations to the responsible body.

THE TREASURY MANAGEMENT ROLE OF THE SECTION 151 OFFICER

The S151 (responsible) officer

- recommending clauses, treasury management policy/practices for approval, reviewing the same regularly, and monitoring compliance;
- submitting regular treasury management policy reports;
- submitting budgets and budget variations;
- receiving and reviewing management information reports;
- reviewing the performance of the treasury management function;
- ensuring the adequacy of treasury management resources and skills, and the effective division of responsibilities within the treasury management function;
- ensuring the adequacy of internal audit, and liaising with external audit;
- recommending the appointment of external service providers.

The Global economy

The Eurozone debt crisis has continued to cast a pall over the world economy and has depressed growth in most countries. This has impacted the UK economy which is unlikely to grow significantly in 2012 and is creating a major headwind for recovery in 2013. Quarter 2 of 2012 was the third quarter of contraction in the economy; this recession is the worst and slowest recovery of any of the five recessions since 1930. A return to growth @ 1% in quarter 3 is unlikely to prove anything more than a washing out of the dip in the previous quarter before a return to weak, or even negative, growth in quarter 4.

The **Eurozone sovereign debt crisis** has abated somewhat following the ECB's pledge to buy unlimited amounts of bonds of countries which ask for a bailout. The immediate target for this statement was Spain which continues to prevaricate on making such a request and so surrendering its national sovereignty to IMF supervision. However, the situation in Greece is heading towards a crunch point as the Eurozone imminently faces up to having to relax the time frame for Greece reducing its total debt level below 120% of GDP and providing yet more financial support to enable it to do that. Many commentators still view a Greek exit from the Euro as inevitable as total debt now looks likely to reach 190% of GDP i.e. unsustainably high. The question remains as to how much damage a Greek exit would do and whether contagion would spread to cause Portugal and Ireland to also leave the Euro, though the longer a Greek exit is delayed, the less are likely to be the repercussions beyond Greece on other countries and on EU banks.

Sentiment in financial markets has improved considerably since this ECB action and recent Eurozone renewed commitment to support Greece and to keep the Eurozone intact. However, the foundations to this "solution" to the Eurozone debt crisis are still weak and events could easily conspire to put this into reverse.

The US economy has only been able to manage weak growth in 2012 despite huge efforts by the Federal Reserve to stimulate the economy by liberal amounts of quantitative easing (QE) combined with a commitment to a continuation of ultra low interest rates into 2015. Unemployment levels have been slowly reducing but against a background of a fall in the numbers of those available for work. The fiscal cliff facing the President at the start of 2013 has been a major dampener discouraging business from spending on investment and increasing employment more significantly in case there is a sharp contraction in the economy in the pipeline. However, the housing market does look as if it has, at long last, reached the bottom and house prices are now on the up.

Hopes for a broad based recovery have, therefore, focused on the **emerging markets**. However, there are increasing concerns over flashing warning signs in various parts of the Chinese economy that indicate it may be heading for a hard landing rather than a gradual slow down.

The UK economy

The Government's austerity measures, aimed at getting the public sector deficit into order over the next four years, now look as if they will fail to achieve their objectives within the original planned timeframe. Achieving this target is dependent on the UK economy growing at a reasonable pace but recession in the Eurozone, our biggest trading partner, has depressed growth whilst tax receipts have not kept pace with additional welfare benefit payments. It will be important for the Government to retain investor confidence in UK gilts so there is little room for it to change course other than to move back the timeframe.

Currently, the UK is enjoying a major financial benefit from some of the lowest sovereign borrowing costs in the world as the UK is seen as a safe haven from Eurozone debt. There is, though, little evidence that consumer confidence levels are recovering nor that the

manufacturing sector is picking up. On the positive side, growth in the services sector has rebounded in Q3 and banks have made huge progress since 2008 in shrinking their balance sheets to more manageable levels and also in reducing their dependency on wholesale funding. However, availability of credit remains tight in the economy and the Funding for Lending scheme, which started in August 2012, has not yet had the time to make a significant impact. Finally, the housing market remains tepid and the outlook is for house prices to be little changed for a prolonged period.

Economic Growth. Economic growth has basically flat lined since the election of 2010 and, worryingly, the economic forecasts for 2012 and beyond were revised substantially lower in the Bank of England Inflation quarterly report for August 2012 and were then further lowered in the November Report. Quantitative Easing (QE) was increased again by £50bn in July 2012 to a total of £375bn. Many forecasters are expecting the MPC to vote for a further round of QE to stimulate economic activity regardless of any near-term optimism. The announcement in November 2012 that £35bn will be transferred from the Bank of England's Asset Purchase Facility to the Treasury (representing coupon payments to the Bank by the Treasury on gilts held by the Bank) is also effectively a further addition of QE.

Unemployment. The Government's austerity strategy has resulted in a substantial reduction in employment in the public sector. Despite this, total employment has increased to the highest level for four years as over one million jobs have been created in the private sector in the last two years.

Inflation and Bank Rate. Inflation has fallen sharply during 2012 from a peak of 5.2% in September 2011 to 2.2% in September 2012. However, inflation increased back to 2.7% in October though it is expected to fall back to reach the 2% target level within the two year horizon.

AAA rating. The UK continues to enjoy an AAA sovereign rating. However, the credit rating agencies will be carefully monitoring the rate of growth in the economy as a disappointing performance in that area could lead to a major derailment of the plans to contain the growth in the total amount of Government debt over the next few years.

Sector's forward view

Economic forecasting remains difficult with so many external influences weighing on the UK. There does, however, appear to be consensus among analysts that the economy remains relatively fragile and whilst there is still a broad range of views as to potential performance, expectations have all been downgraded during 2012. Key areas of uncertainty include:

- the potential for the Eurozone to withdraw support for Greece at some point if the costs of such support escalate were to become prohibitive, so causing a worsening of the Eurozone debt crisis and heightened risk of the breakdown of the bloc or even of the currency itself;
- inter government agreement on how to deal with the overall Eurozone debt crisis could fragment; the impact of the Eurozone crisis on financial markets and the banking sector;
- the impact of the Government's austerity plan on confidence and growth and the need to rebalance the economy from services to manufactured goods;
- the under-performance of the UK economy which could undermine the Government's policies that have been based upon levels of growth that are unlikely to be achieved;
- the risk of the UK's main trading partners, in particular the EU and US, falling into recession ;

- stimulus packages failing to stimulate growth;
- elections due in Germany in 2013;
- potential for protectionism i.e. an escalation of the currency war / trade dispute between the US and China.
- the potential for action to curtail the Iranian nuclear programme
- the situation in Syria deteriorating and impacting other countries in the Middle East

The focus of so many consumers, corporates and banks on reducing their borrowings, rather than spending, will continue to act as a major headwind to a return to robust growth in western economies.

Given the weak outlook for economic growth, Sector sees the prospects for any changes in Bank Rate before 2015 as very limited. There is potential for the start of Bank Rate increases to be even further delayed if growth disappoints.

Sector believes that the longer run trend is for gilt yields and PWLB rates to rise due to the high volume of gilt issuance in the UK, and the high volume of debt issuance in other major western countries. The interest rate forecast in this report represents a balance of downside and upside risks. The downside risks have already been commented on. However, there are specific identifiable upside risks as follows to PWLB rates and gilt yields, and especially to longer term rates and yields: -

- UK inflation being significantly higher than in the wider EU and US causing an increase in the inflation premium in gilt yields
- Reversal of QE; this could initially be allowing gilts held by the Bank to mature without reinvesting in new purchases, followed later by outright sale of gilts currently held
- Reversal of Sterling's safe haven status on an improvement in financial stresses in the Eurozone
- Investors reverse de-risking by moving money from government bonds into shares in anticipation of a return to worldwide economic growth
- The possibility of a UK credit rating downgrade (Moody's has stated that it will review the UK's AAA rating at the start of 2013).

INTEREST RATE FORECAST

Sector's Interest Rate View														
	Now	Mar-13	Jun-13	Sep-13	Dec-13	Mar-14	Jun-14	Sep-14	Dec-14	Mar-15	Jun-15	Sep-15	Dec-15	Mar-16
Sector's Bank Rate View	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.75%	1.00%	1.25%	1.50%	1.75%
3 Month LIBID	0.39%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.60%	0.60%	0.70%	0.80%	1.10%	1.40%	1.70%
6 Month LIBID	0.54%	0.70%	0.70%	0.70%	0.70%	0.70%	0.70%	0.80%	0.90%	1.00%	1.10%	1.30%	1.60%	1.90%
12 Month LIBID	0.88%	1.00%	1.00%	1.00%	1.00%	1.00%	1.10%	1.10%	1.20%	1.30%	1.30%	1.50%	1.80%	2.10%
5yr PW IB Rate	1.85%	1.50%	1.50%	1.60%	1.60%	1.70%	1.70%	1.80%	2.00%	2.20%	2.30%	2.50%	2.70%	2.90%
10yr PW IB Rate	2.87%	2.50%	2.50%	2.60%	2.60%	2.70%	2.70%	2.80%	3.00%	3.20%	3.30%	3.50%	3.70%	3.90%
25yr PW IB Rate	4.02%	3.80%	3.80%	3.80%	3.80%	3.90%	3.90%	4.00%	4.10%	4.30%	4.40%	4.60%	4.80%	5.00%
50yr PW IB Rate	4.15%	4.00%	4.00%	4.00%	4.00%	4.10%	4.10%	4.20%	4.30%	4.50%	4.60%	4.80%	5.00%	5.20%
Bank Rate														
Sector's View	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.75%	1.00%	1.25%	1.50%	1.75%
UBS	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	-	-	-	-	-
Capital Economics	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	-	-	-	-	-
5yr PW IB Rate														
Sector's View	1.85%	1.50%	1.50%	1.60%	1.60%	1.70%	1.70%	1.80%	2.00%	2.20%	2.30%	2.50%	2.70%	2.90%
UBS	1.85%	-	-	-	-	-	-	-	-	-	-	-	-	-
Capital Economics	1.85%	1.55%	1.30%	1.30%	1.30%	1.30%	1.30%	1.50%	1.60%	-	-	-	-	-
10yr PW IB Rate														
Sector's View	2.87%	2.50%	2.50%	2.60%	2.60%	2.70%	2.70%	2.80%	3.00%	3.20%	3.30%	3.50%	3.70%	3.90%
UBS	2.87%	3.00%	3.10%	3.20%	3.40%	3.50%	3.60%	3.70%	3.80%	-	-	-	-	-
Capital Economics	2.87%	2.55%	2.30%	2.30%	2.30%	2.30%	2.30%	2.30%	2.30%	-	-	-	-	-
25yr PW IB Rate														
Sector's View	4.02%	3.80%	3.80%	3.80%	3.80%	3.90%	3.90%	4.00%	4.10%	4.30%	4.40%	4.60%	4.80%	5.00%
UBS	4.02%	4.20%	4.30%	4.40%	4.50%	4.50%	4.50%	4.50%	4.50%	-	-	-	-	-
Capital Economics	4.02%	3.70%	3.50%	3.50%	3.50%	3.50%	3.50%	3.50%	3.50%	-	-	-	-	-
50yr PW IB Rate														
Sector's View	4.15%	4.00%	4.00%	4.00%	4.00%	4.10%	4.10%	4.20%	4.30%	4.50%	4.60%	4.80%	5.00%	5.20%
UBS	4.15%	4.30%	4.40%	4.50%	4.60%	4.60%	4.60%	4.60%	4.60%	-	-	-	-	-
Capital Economics	4.15%	4.00%	3.80%	3.80%	3.80%	3.80%	3.80%	3.80%	3.80%	-	-	-	-	-

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Ryedale District Council

External Audit Progress Report

February 2013

Summary of activity

We are pleased to present our progress report to the Audit and Governance Committee. This summarises the activities that we have completed since our report in September 2012.

Summary of activities

Audit completion

The audit and VFM opinions were signed on 28 September 2012.

Whole of Government Accounts

For 2011/12, a de minimus was applied and we verified the Council's pension liability on 28 September 2012 in line with this year's requirements.

Certification of claims and returns

All claims and returns have now been certified and submitted. Our annual report on this work is presented separately.

Annual data return for grant claims and returns on EDC

We have completed an online data return in January which discloses all the claims and returns made by the Council and certified by us in 2011/12.

Annual audit letter

We submitted our annual audit letter on 12 October 2012.

2012/13 fee letter

We submitted our fee letter for 2012/13 on 16 November 2012 which confirmed a decrease of 40% compared to 2011/12.

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Ryedale District Council

Certification of claims and returns 2011/12

Annual Report

February 2013

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Executive summary

We have pleasure in setting out in this document our report to the Overview and Scrutiny Committee of Ryedale District Council (“the Council”) on our certification work for the year ended 31 March 2012. This report summarises the principal matters that have arisen from our work. It is not intended to be exhaustive but highlights the most significant matters to which we would like to bring your attention.

This year only two items have required certification, being the housing and council tax benefit subsidy claim and the National non-domestic rates return (“NNDR”).

The NNDR return was certified with no matters to report.

The housing and council tax benefit subsidy claim testing revealed various errors of both underpayment and overpayment of benefit, across all three types of benefit. The individual errors ranged from a few pence to £581 and for periods of a few days to several months. Overall the claim was only adjusted by £4,300 and a qualification letter was submitted to the Department for Work and Pensions setting out the various errors found.

Given the nature of the benefits system, with a high volume of low value transactions, there will always be an element of human error. Historically, the Council has had a clean report for the housing and council tax benefit subsidy claim, with minimal errors found in our testing. Some of the errors found this year related to a software or control issue and some highlighted a series of human errors

More detail on our testing and the errors noted can be found in section 3 and our specific recommendations can be found in section 4.

1. Grant claims and returns certified for 2011/12

The following claims and returns have been certified and delivered to the appropriate authorities within the relevant deadlines:

Claim or return	Value of claim/ return	Date received	Date certified	Certification deadline	Adjustments required	Qualification letter issued
Housing and council tax benefit	£14.8m	15/05/12	29/11/12	30/11/12	Yes	Yes
National non-domestic rates return	£14.4m	15/08/12	13/09/12	28/09/12	No	No

Notes

- All claims with a project lifetime value of over £125,000 require certification by the auditors.
- The Disabled Facilities Grant which has been certified in previous years is no longer a ring-fenced grant and therefore no longer subject to certification.
- Section 2 provides details of adjustments and qualifications required.
- An analysis of certification fees is shown in Appendix 1 to this letter.

2. Adjustments and qualification letters issued

The following adjustments have been made prior to certification by the auditors and one qualification letter has been issued.

Adjustments

- The housing and council tax benefit subsidy claim required several minor adjustments giving rise to an overall decrease in the claim of £4,300 – see section 3 for commentary on the housing and council tax benefit subsidy claim.

Qualification letter issued

- A letter was issued in respect of the housing and council tax benefit subsidy claim. Nine different categories of error were reported in this year's letter to the Department for Work and Pensions. Where errors cannot be adjusted in the claim form, they are extrapolated from the testing sample across the whole benefit population. The largest extrapolated error reported in the letter was £24,263.

3. Commentary on housing and council tax benefit claim

Certification approach

- Certification instruction BEN01, issued by the Audit Commission, was followed and using the HBCOUNT 2012 instructions, a Modular Approach was used to certify the claim. A planning meeting was held with key Council benefits staff in June 2012.
- The “system parameters” specified by the National Audit Office (ie this year’s benefit rates and allowances) were agreed to those in use at the Council. This work was partially performed at a preliminary stage in early June 2012.
- Electronic workbooks supplied by the Audit Commission were used to test a sample of cases for each of the three relevant benefit types (non-HRA rent rebates, rent allowances and council tax benefit) for the Council. A sample of 20 was used for rent allowances and council tax benefit. The number of cases tested for non-HRA rent rebates was reduced to 16 as a result of the small population for this type of benefit.
- In total our work took 32 days this year compared to 29 days last year due to the number of errors found and additional testing required under the certification instruction. Further details are given below.
- Where errors are found in our initial testing, the certification instructions require extended testing of a further 40 cases (for populations over 100 cases) or 100% of cases (for populations under 100 cases) in the specific area of the error. Extended testing is performed in each instance where an initial error is found and extended samples are combined wherever possible to minimise the number of cases tested. This year nine sets of extended testing were performed.
- A review of the Northgate software controls was also performed.

3. Commentary on housing and council tax benefit claim (continued)

Summary of findings

- Our initial testing of 56 cases noted 23 errors (2010/11: 9 errors) across all three benefit types.
- As a result of the errors found in our initial testing, nine sets of extended testing were required and a further 129 errors were noted as a result of this extended testing, some of which relate to software or control errors.
- Furthermore, testing is required in areas where errors were found in the prior year and this testing noted a further 108 errors, again some of which relate to control errors.
- There were instances of both underpayment and overpayment of benefit in the errors noted above, ranging from a few pence to £581 and from a few days to several months.
- The following is a summary of the errors noted in our combined testing:
 - incorrect income details recorded/calculated for 18 council tax and 12 rent allowance cases;
 - incorrect rent officer renewal start date for 3 rent allowance cases;
 - incorrect treatment of student loan for 8 rent allowance cases;
 - incorrect stop date used for 109 council tax cases;
 - incorrect treatment of service charge expenses for 24 non-HRA cases;
 - incorrect classification of type of accommodation expenditure for 28 non-HRA cases (software error);
 - incorrect recording of an instance of backdating a claim for 10 non-HRA cases and 38 rent allowance cases;
 - incorrect recording or uprating of war pension, either by amount or date, for several cases in receipt of war pension; and
 - three instances where the claim form was not amended for discrepancies created by the Northgate software.

Looking forward – 2012/13

At the time of writing this report, there have been no changes announced by the Audit Commission. However, there are usually some minor amendments to the certification instructions each year and we will keep you informed of any significant changes that are announced in the future.

4. Observations and recommendations arising from our certification work

Housing and council tax benefit subsidy claim - follow up on prior year matters raised

	Recommendation in 2010/11	Resolved in 2011/12?
1	Care needs to be taken in the case of claimant death, with respect to overpayment classification, and rent officer determination renewal dates.	Errors were found in both these areas again this year. These matters appear to be unresolved in 2011/12. A work programme has been agreed to resolve any outstanding errors with improved procedures now in place to prevent recurrence of errors.

4. Observations and recommendations arising from our certification work (continued)

Housing and council tax benefit subsidy claim - current year matters raised

	Observation and recommendation	Management response
1	<p>It has taken several months to conclude this year's work. This appears to be due to lack of available resource in the benefits team to investigate errors found in our testing and to carry out the extended testing required.</p> <p>Testing required in 2012/13, as a result of errors arising in 2011/12, has already been notified to the benefits team management and it is recommended that this testing is performed over the next three months to reduce the pressure on staff during the summer certification work.</p>	<p>The Council has identified additional funding to resource the increasing burden associated with the subsidy claim audit for 2012/13. The testing requirements have increased following the implementation of Northgate in February 2010. This year is not exceptional in that it takes several months to conclude the audit every year. We do not anticipate any reduction in the testing requirement of the audit, however the consequence of any errors amounts to £4,300. There is no benefit to the Council in investing significant resources in the next three months to undertake all testing as suggested in the report.</p>
2	<p>Internal audit highlighted that no supervisory checks have been made to benefit cases since February 2011. This increases the risk of training needs remaining undetected and is likely to have contributed to the high level of errors this year. Supervisory checks should be carried out across all benefits staff as soon as possible and a regular timetable of checks should be reintroduced.</p>	<p>Whilst accurate, the council does not experience a high turnover of staff, with longstanding experienced assessors in the team. Training needs are identified across the NY services using Northgate. A plan is being developed for management checks, with resource identified to undertake the checks.</p>

4. Observations and recommendations arising from our certification work (continued)

Housing and council tax benefit subsidy claim - current year matters raised (continued)

	Observation and recommendation	Management response
3	When assessors run checks on CIS for whether a benefit is income based or contributions based, a copy of that screen shot should be filed together with the main award list page from the CIS system. This will ensure that backing documentation is retained to support the income used in the subsidy calculation.	Agreed - plan in place to import info into EDM
4	The error report, Sub057 "Reconciling claims", should be produced and reviewed as part of the subsidy claim completion process.	Agreed – action point for officer undertaking subsidy claim completion
5	Recurring errors were found in recording income and tax credits, rent officer determination start date, student loans and backdating. It is recommended that all staff attend refresher training to reduce the number of errors in these areas.	Recurring errors occur only in relation to the rent officer determination start date. Others are simply errors. Training plan in place for all areas referred to, to prevent any recurrence.
6	There was found to be inconsistent recording and/or treatment of service charge expenses included in the rent paid to landlords. All cases in 2011/12 were reviewed and amended as required. All cases in 2012/13 should be reviewed and training given to staff regarding the proper treatment of service charges.	Agreed. Procedures and guidance to be developed and training for all staff
7	Towards the end of our work it became apparent that incorrect stop codes were being used for council tax cases. All 2011/12 stopped cases were reviewed and 109 cases were adjusted. All 2012/13 stopped cases will need to be reviewed and amended and staff training should be given in this area.	Agreed. Updating guidance and refresher training for all staff

5. Closing remarks

This report has been discussed and agreed with the Corporate Director of the Council. A copy of the report will be presented at the Overview and Scrutiny Committee on 21 February 2013.

We would like to take this opportunity to express our appreciation for the assistance and co-operation provided during the course of the certification work.



Deloitte LLP

Chartered Accountants

4 February 2013

The matters raised in this report are only those that came to our attention during our certification work and are not necessarily a comprehensive statement of all weaknesses that exist or of all improvements that might be made. You should assess recommendations for improvements for their full implications before they are implemented. In particular, we would emphasise that we are not responsible for the adequacy and appropriateness of the certification methodologies as they are derived solely from the Audit Commission.

This report has been prepared for the Members, as a body, and we therefore accept responsibility to you alone for its contents. We accept no duty, responsibility or liability to any other parties, since this report has not been prepared, and is not intended, for any other purpose.

Appendix 1: Analysis of certification fees

Claim or return	2011/12 £'000	2010/11 £'000
Housing and council tax benefit subsidy claim	29.0	26.5
National non-domestic rates return	4.2	4.9
Disabled Facilities grant	-	1.2
Total	33.2	32.6

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REPORT TO: OVERVIEW AND SCRUTINY COMMITTEE

DATE: 21 FEBRUARY 2013

REPORT OF THE: BUSINESS SUPPORT MANAGER
ANGELA JONES

TITLE OF REPORT: CUSTOMER COMPLAINTS RECEIVED QUARTER 3
(2012/13)

WARDS AFFECTED: ALL

EXECUTIVE SUMMARY

1.0 PURPOSE OF REPORT

1.1 To inform Members of the number and type of complaints received under the Council's complaint procedure for the period October – December 2012.

2.0 RECOMMENDATION

2.1 It is recommended that Members accept the report as attached.

3.0 REASON FOR RECOMMENDATION

3.1 This report includes complaints monitored under individual service complaints systems (**Annex 1**).

3.2 The report also includes a summary of customer feedback to Community Leisure Ltd (CLL) for the period October – December 2012 together with the action taken where appropriate (**Annex 2**).

4.0 REPORT DETAILS

4.1 The annexes of the report show the number of complaints received and the actions which have been taken. All the cases listed in annex 1 have been resolved.

4.2 The detail of the Council complaints procedure can be found on the [Council's website](#)

Angela Jones
Business Support Manager

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Background Papers:
RDC Complaints Procedure

Background Papers are available for inspection at:
[http://www.ryedale.gov.uk/council and democracy/corporate complaints.aspx](http://www.ryedale.gov.uk/council_and_democracy/corporate_complaints.aspx)

SUMMARY OF COMPLAINTS LOG

PERIOD: October – December 2012

SERVICE UNIT	SUMMARY OF COMPLAINT	NO SETTLED WITHIN DEADLINE	RESULTANT SERVICE IMPROVEMENTS / ACTION TAKEN
Revenues & Benefits	<ol style="list-style-type: none"> 1. Dis satisfaction with Council Tax banding. 2. Dis satisfaction with Council Tax demand. 3. Dis satisfaction with Housing/Council Tax benefit claim. 4. Dis satisfaction with wording on Council Tax letter. 	4	<ol style="list-style-type: none"> 1. Letter of explanation & apology sent to customer. 2. Letter of apology and explanation sent to customer. 3. Letter of apology and explanation sent to customer. 4. Letter of apology and explanation sent to customer.
Managing Development		0	
Community Services		0	
Business Improvement	<ol style="list-style-type: none"> 1. Dis satisfaction with Polling station arrangements. 2. Dis satisfaction with staff unable to find elector on register quickly. 	2	<ol style="list-style-type: none"> 1. Letter of apology & explanation sent to customer. 2. Letter of explanation sent to customer.
Access to Services		0	
Facilities & Emergency Planning		0	

Planning	<ol style="list-style-type: none"> 1. Dis satisfaction with lack or response to emails. 2. Dis satisfaction with lack of response to letters. 	2	<ol style="list-style-type: none"> 1. Letter of apology and explanation sent to customer 2. Letter of apology and explanation sent to customer.
Finance		0	
Health & Environment	<ol style="list-style-type: none"> 1. Dis satisfaction with premises licence review. 	2	<ol style="list-style-type: none"> 1. Letter of explanation sent.
Housing Services		0	
ICT Services		0	
Legal		0	
Streetscene Services	<ol style="list-style-type: none"> 1. Dis satisfaction with car parking charges. 2. Dis satisfaction with recycling collection. 3. Dis satisfaction with recycling collection. 4. Dis satisfaction with refuse collection during flooding. 5. Dis satisfaction with refuse collection due to bad weather. 	5	<ol style="list-style-type: none"> 1. Letter of explanation sent to customer. 2. Letter of apology and explanation sent to customer. 3. Letter of apology sent to customer and recycling collected. 4. Letter of apology and explanation sent to customer. 5. Letter of apology and explanation sent to customer.
TOTAL		14	

Agenda Item 13

DERWENT POOL – CUSTOMER FEEDBACK

OCTOBER TO DECEMBER 2012	very good	good	fair	poor	very poor
Efficiency of the staff	0	0	0	1	0
Helpfulness of the staff	0	0	0	0	0
Courtesy of the staff	0	0	0	0	0
General cleanliness	1	0	0	0	0
Condition of the facilities	1	0	0	0	0
Safety and security	1	0	0	0	0
Pool water temperature	0	1	0	0	0
Air temperature	0	1	0	0	0
Value for money	0	1	0	0	0
Overall experience	0	1	0	0	0
	3	0	0	1	0

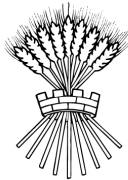
MONTH	COMMENTS	ACTION
October	No comments received	
November	Having to wait 20mins to pay - happened for last 2 weeks	
December	No comments received	

RYEDALE POOL – CUSTOMER COMMENTS FEEDBACK

OCTOBER TO DECEMBER 2012	very good	good	fair	poor	very poor
Efficiency of the staff	1	0	0	0	0
Helpfulness of the staff	1	0	0	0	0
Courtesy of the staff	1	0	0	0	0
General cleanliness	0	1	0	0	0
Condition of the facilities	0	1	0	0	0
Safety and security	0	1	0	0	0
Pool water temperature	0	0	1	0	0
Air temperature	0	0	0	0	1
Value for money	0	0	1	0	0
Overall experience	0	0	1	0	0
	3	3	3	0	1

MONTH	COMMENTS	ACTION
October	No comments received	
November	No comments received	
December	No comments received	

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REPORT TO:	OVERVIEW AND SCRUTINY COMMITTEE
DATE:	21 FEBRUARY 2013
REPORT OF THE:	CORPORATE DIRECTOR (s151) PAUL CRESSWELL
TITLE OF REPORT:	ANNUAL GOVERNANCE STATEMENT ACTION PLAN
WARDS AFFECTED:	ALL

EXECUTIVE SUMMARY

1.0 PURPOSE OF REPORT

1.1 This is a report to committee to inform members on progress with the actions identified in the 2011-12 AGS action plan.

2.0 RECOMMENDATION

2.1 It is recommended that members note the progress made with identified actions in the 2011-12 AGS action plan.

3.0 REASON FOR RECOMMENDATION

3.1 Monitoring progress with identified actions in the AGS is good practice, and it demonstrates to the Audit Commission that the Audit Committee is properly exercising its role.

4.0 SIGNIFICANT RISKS

4.1 There are no significant risks.

REPORT

5.0 POLICY CONTEXT AND CONSULTATION

5.1 There is no impact upon specific policies, although as the AGS is an important corporate document demonstrating the Council's commitment to an open and transparent philosophy in all its activities.

5.2 No external consultation has been carried, as this is an internal report covering the progress with actions identified in the AGS.

6.0 REPORT DETAILS

6.1 The Accounts and Audit Regulations require audited bodies to conduct a review at

least once a year on the effectiveness of its Internal Audit and publish an Annual Governance Statement (AGS) each year with the Statement of Accounts.

- 6.2 The document has then to be signed by the Chief Executive and the Leader (or equivalent) of the Council. This emphasises that the document is about all corporate controls and is not confined to financial issues.
- 6.3 The Council has adopted the CIPFA framework for producing the AGS. Part of this framework is for the Council's Audit Committee to consider the content of the AGS including any action plans to address identified 'significant' internal control issues.
- 6.4 It is for Members to review the progress of implementation of the actions identified in the Action Plan of the 2011-12 Annual Governance Statement (AGS).
- 6.5 This is not required under The Accounts & Audit Regulations; however it is good practice for the Committee to monitor progress of the actions as part of their Governance responsibilities.
- 6.6 The purpose of the AGS is to provide a continuous review of the effectiveness of the organisation's internal control and risk management systems so as to give assurance on their effectiveness.
- 6.7 The AGS should not be seen as a task at a particular point in time. Therefore, for the process to add value to the Council, assurances on the effectiveness of controls over key risks should be obtained throughout the year. This allows remedial action to take place at the earliest opportunity, thereby improving the internal control framework.
- 6.8 There is also a need to identify and resolve weaknesses by the production of an action plan. This report presents a review of the implementation of actions proposed in the Action Plan associated with the 2011-12 AGS.
- 6.9 The Action Plan detailed in annex A, sets out the current position with comments on the actions proposed in the plan.
- 6.10 The AGS for 2011-12 will be reported to the committee in June and this will complete the reviews of this action plan as any outstanding items will be incorporated into the action plan for the 2012-13 AGS.

7.0 IMPLICATIONS

- 7.1 The following implications have been identified:
 - a) Financial
None
 - b) Legal
None
 - c) Other (Equalities, Staffing, Planning, Health & Safety, Environmental, Crime & Disorder)
None

8.0 NEXT STEPS

- 8.1 The AGS Action Plan is a document that should be reviewed periodically during the year. A final review will be done when the AGS for 2012-13 is being drafted, and any

the current items which remain outstanding will be brought forward into the new AGS.

Paul Cresswell
Corporate Director (s151)

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Background Papers:
None

Background Papers are available for inspection at:
n/a

ANNUAL GOVERNANCE STATEMENT 2011/12

Action Plan for Implementation in 2012/13

STATUS	CONTROL ISSUE	ACTION PROPOSED	RESPONSIBILITY	TARGET DATE	CURRENT POSITION & COMMENTS
Brought Forward	Corporate Business Continuity Plan (BCP) requires testing for resilience and further training may be required.	Use consultancy days from our insurers and specialist to ensure the plan is fit for purpose and appropriate staff have currency of knowledge.	Corporate Director (s151)	Completed	Ongoing updating and training now well established.
Brought Forward	Risk of compromise and weaknesses in operational systems as a consequence of continuing reductions in staffing as Government funding cuts made.	Where changes in staffing occur, that changes in operating arrangements are reviewed prior to reducing the controls. Internal audit will be included in working groups reviewing operating systems and arrangements, including commissioning, partnership arrangements etc.	Corporate Director (s151)	Continuing	This will be a continuing issue in 2012/2013

New 2011/12	Internal changes within the Council including the establishment of the Hub and implementation of the new FMS weaken the control environment or affect service standards.	Project and Risk Management applied to changes Changes subject to audit review Ongoing monitoring of performance	Corporate Director (s151)	Ongoing	New control Issue. Close management of resources to ensure resilience in application of systems and processes , to ensure control environment is maintained.
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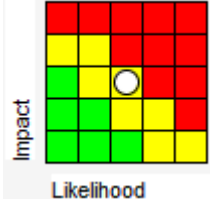
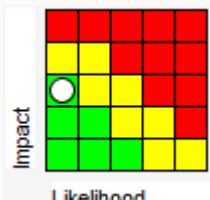
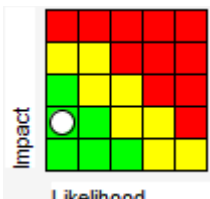
Corporate Risk Management Plan

Generated on: 12 February 2013


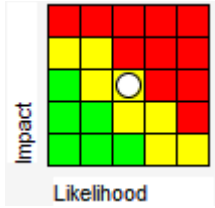
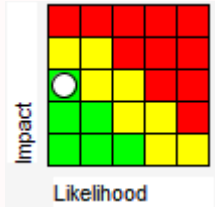
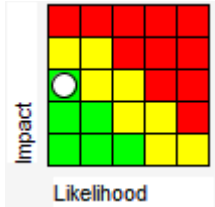


Risk Summary			Key	
Status	Code	Title	Risk Status	
	CRR 01	Significant Partnerships		OK
	CRR 02	Capital Programme		Warning
	CRR 03	Staff Management		Alert
	CRR 04	External Funding		Unknown
	CRR 05	Affordable Housing		
	CRR 06	Procurement		
	CRR 07	Health and Safety		
	CRR 08	Emergency Planning and Business Continuity Planning		
	CRR 09	Failure to influence the proposed changes to the national framework for the resourcing of Ryedale District Council.		
	CRR 11	Council Assets		
	CRR 12	Customer Expectations		
	CRR 13	Fraud and Corruption		
	CRR 14	Data Quality		
	CRR 15	Delivering Efficiencies		
	CRR 16	Data Protection		
	CRR 17	Regulation and Investigatory Powers Act		


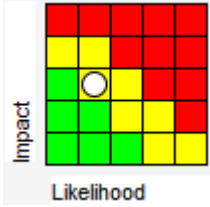
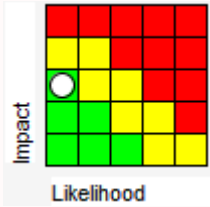
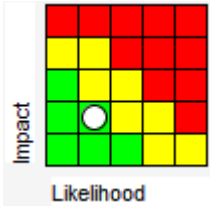
CRR 01 Significant Partnerships

Risk Code	Risk Title	Description		Status	
CRR 01	Significant Partnerships	That the Council fails to manage its partnerships effectively			
Consequences		Financial cost to the Council through partnership failure, breach of legislation by partnership with consequences for Council and its reputation, levels of service satisfaction and quality fall below acceptable levels.			
Original Matrix		Original Rating Description			
		Original Impact	C	3	
			Medium	Original Likelihood	Likely
Current Risk Matrix		Current Rating Description			
		Current Impact	C	1	
			Medium	Current Likelihood	Very Low
Target Risk Matrix		Target Rating Description			
		Target Impact	B	1	
			Minor	Target Likelihood	Very Low
Latest Progress				Last Review Date	SMT Lead
08-Feb-2013 Following a reassessment of the Councils partnerships, there are currently two assessed as significant, North Yorkshire Building Control Partnership and Veritau.				24-Sep-2011	Clare Slater


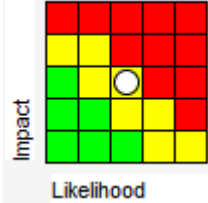
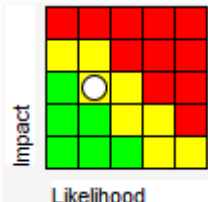
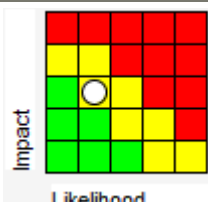
CRR 02 Capital Programme

Risk Code	Risk Title	Description	Status	
CRR 02	Capital Programme	Failure to deliver Council priorities, due to poor management of the capital programme.		
Consequences		Failure to deliver the Council priorities		
Original Matrix		Original Rating Description		
		Original Impact	C	3
			Medium	Original Likelihood
Current Risk Matrix		Current Rating Description		
		Current Impact	C	1
			Medium	Current Likelihood
Target Risk Matrix		Target Rating Description		
		Target Impact	C	1
			Medium	Target Likelihood
Latest Progress			Last Review Date	SMT Lead
08-Feb-2013 Progress monitoring embedded in the work of Resources Working Party, who receive bi-monthly reports with highlight reporting on individual projects			24-Sep-2011	Paul Cresswell


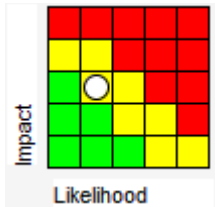
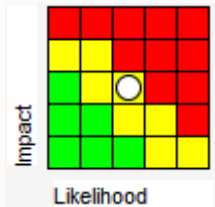
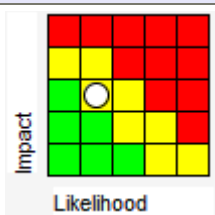
CRR 03 Staff Management

Risk Code	Risk Title	Description	Status
CRR 03	Staff Management	Failure to effectively manage and develop our workforce assets	
Consequences		Decline in employee performance and delivery	
Original Matrix		Original Rating Description	
	Original Impact	C	
		Medium	Original Likelihood
Current Risk Matrix		Current Rating Description	
	Current Impact	C	
		Medium	Current Likelihood
Target Risk Matrix		Target Rating Description	
	Target Impact	B	
		Minor	Target Likelihood
Latest Progress			Last Review Date
08-Feb-2013 Absence management working effectively. Ryedale was the best performing authority in North Yorkshire 2011/12, however there have been some incidences of longer term absence which will impact on the overall performance for the current year.			29-Sep-2011
			SMT Lead
			Clare Slater


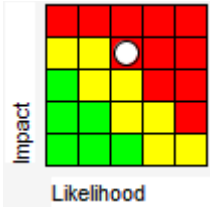
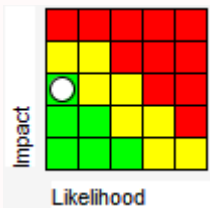
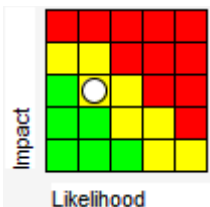
CRR 04 External Funding

Risk Code	Risk Title	Description		Status	
CRR 04	External Funding	Failure to attract external funding to support the priorities of the Council			
Consequences		Failure to deliver Council priorities requiring major financial investment. Increased costs to RDC. Failure to regenerate the local economy. Uncompetitive service delivery. Withdrawal or failure of a service. Inability to deliver new services			
Original Matrix		Original Rating Description			
		Original Impact	C Medium	Original Likelihood	3 Likely
Current Risk Matrix		Current Rating Description			
		Current Impact	C Medium	Current Likelihood	2 Not Likely
Target Risk Matrix		Target Rating Description			
		Target Impact	C Medium	Target Likelihood	2 Not Likely
Latest Progress				Last Review Date	SMT Lead
08-Feb-2013 Strategic approach to new funding opportunities being developed in response to legislation and Community Infrastructure Levy (CIL). Linked to the development of the Local Development Framework and LEP 5 year Growth Strategy				24-Sep-2011	Paul Cresswell


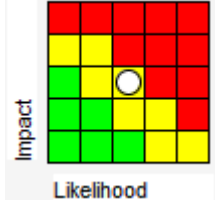
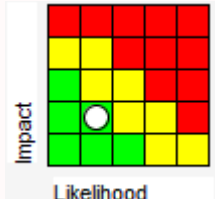
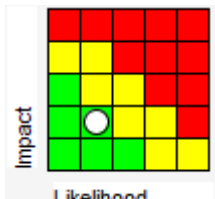
CRR 05 Affordable Housing

Risk Code	Risk Title	Description			Status
CRR 05	Affordable Housing	Failure to meet identified housing need in Ryedale			
Consequences		Homelessness increases with resultant service costs. Unbalanced housing market. Negative impact on the local economy. Lack of key workers to support the needs of the community. Local people forced to move away from Ryedale.			
Original Matrix		Original Rating Description			
		Original Impact	C	Original Likelihood	2
			Medium		Not Likely
Current Risk Matrix		Current Rating Description			
		Current Impact	C	Current Likelihood	3
			Medium		Likely
Target Risk Matrix		Target Rating Description			
		Target Impact	C	Target Likelihood	2
			Medium		Not Likely
Latest Progress				Last Review Date	SMT Lead
08-Feb-2013 Delivery of new affordable homes for this year stands at 75, this represents continued good performance given the current economic climate eg low build rates				24-Sep-2012	Gary Housden


CRR 06 Procurement

Risk Code	Risk Title	Description		Status	
CRR 06	Procurement	Failure to procure in line with legislation and in line with best value principles			
Consequences		Failure to make efficiency savings. Priority projects not delivered to budget. Adverse external inspection. Breach of legislation eg. equalities or health and safety. Damage to RDC reputation.			
Original Matrix		Original Rating Description			
		Original Impact	D	3	
			Major	Original Likelihood	Likely
Current Risk Matrix		Current Rating Description			
		Current Impact	C	1	
			Medium	Current Likelihood	Very Low
Target Risk Matrix		Target Rating Description			
		Target Impact	C	2	
			Medium	Target Likelihood	Not Likely
Latest Progress				Last Review Date	SMT Lead
08-Feb-2013 Procurement Partnership established and the service received by the Council is working well with savings being achieved in line with efficiency targets.				24-Sep-2011	Phil Long


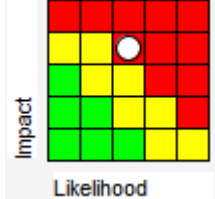
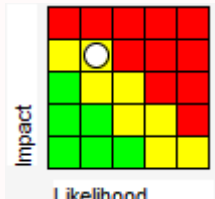

CRR 07 Health and Safety

Risk Code	Risk Title	Description			Status
CRR 07	Health and Safety	Failure to ensure appropriate systems are in place to manage Health and safety			
Consequences		Failure to meet legislative requirements, prosecution and financial penalties incurred as a result of incident.			
Original Matrix		Original Rating Description			
		Original Impact	C	Original Likelihood	3
			Medium		Likely
Current Risk Matrix		Current Rating Description			
		Current Impact	B	Current Likelihood	2
			Minor		Not Likely
Target Risk Matrix		Target Rating Description			
		Target Impact	B	Target Likelihood	2
			Minor		Not Likely
Latest Progress				Last Review Date	SMT Lead
08-Feb-2013 Member and officer training undertaken. Health and Safety policy framework in place. Ownership across the organisation, roles and responsibilities clarified at all levels of management				24-Sep-2011	Clare Slater


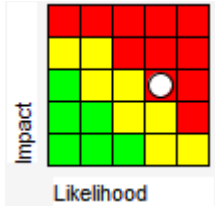
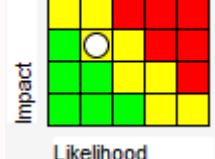
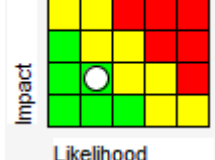
CRR 08 Emergency Planning and Business Continuity Planning

Risk Code	Risk Title	Description		Status	
CRR 08	Emergency Planning and Business Continuity Planning	Failure to produce effective, comprehensive and tested plan.			
Consequences		Failure in continuity of service delivery. Negative impact on the most vulnerable on our communities. Damage to RDC reputation. Financial penalties and litigation			
Original Matrix		Original Rating Description			
		Original Impact	C	3	
			Medium	Original Likelihood	Likely
Current Risk Matrix		Current Rating Description			
		Current Impact	B	2	
			Minor	Current Likelihood	Not Likely
Target Risk Matrix		Target Rating Description			
		Target Impact	B	2	
			Minor	Target Likelihood	Not Likely
Latest Progress				Last Review Date	SMT Lead
08-Feb-2013 Emergency planning arrangements in place and tested. Training undertaken for all staff. Comprehensive Business Continuity Planning in place and fully tested.				24-Sep-2011	Paul Cresswell


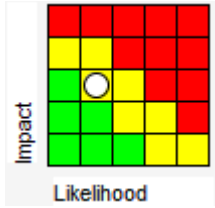
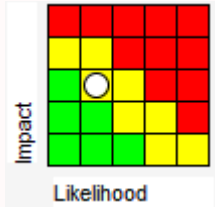
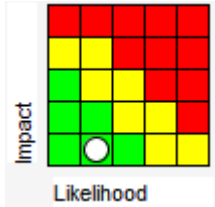
CRR 09 Failure to influence the proposed changes to the national framework for the resourcing of Ryedale District Council.

Risk Code	Risk Title	Description			Status
CRR 09	Failure to influence the proposed changes to the national framework for the resourcing of Ryedale District Council.				
Consequences		Adverse financial effect on the Council which could impact on Ryedale residents or require cuts to RDC services.			
Original Matrix		Original Rating Description			
		Original Impact	D	Original Likelihood	3
			Major		Likely
Current Risk Matrix		Current Rating Description			
		Current Impact	D	Current Likelihood	2
			Major		Not Likely
Target Risk Matrix		Target Rating Description			
		Target Impact	D	Target Likelihood	1
			Major		Very Low
Latest Progress				Last Review Date	SMT Lead
08-Feb-2013 Update to reflect recent settlement?				23-Sep-2011	Paul Cresswell


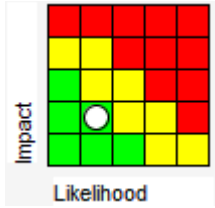
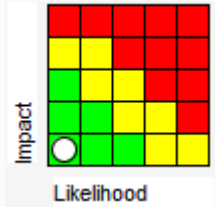
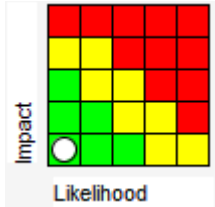
CRR 11 Council Assets

Risk Code	Risk Title	Description			Status
CRR 11	Council Assets	Ensure the Council has proper plan to ensure maintenance and fitness for purpose of the Council assets			
Consequences					
Original Matrix		Original Rating Description			
		Original Impact	C	Original Likelihood	4
			Medium		Very Likely
Current Risk Matrix		Current Rating Description			
		Current Impact	C	Current Likelihood	2
			Medium		Not Likely
Target Risk Matrix		Target Rating Description			
		Target Impact	B	Target Likelihood	2
			Minor		Not Likely
Latest Progress				Last Review Date	SMT Lead
08-Feb-2013 Major investment has resulted in improved facilities with significant investment into energy efficiency measures to reduce Co2 emissions				24-Sep-2011	Phil Long


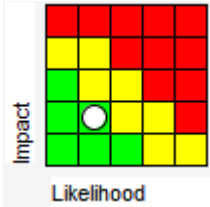
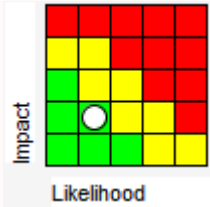
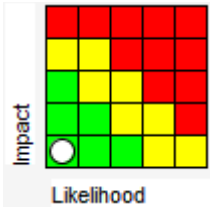
CRR 12 Customer Expectations

Risk Code	Risk Title	Description	Status	
CRR 12	Customer Expectations	Failure to meet customer service standards and meet customer expectations.		
Consequences		Include CR02		
Original Matrix		Original Rating Description		
		Original Impact	C	2
			Medium	Original Likelihood
		Current Risk Matrix		Current Rating Description
		Current Impact	C	2
			Medium	Current Likelihood
		Target Risk Matrix		Target Rating Description
		Target Impact	A	2
			Low	Target Likelihood
		Latest Progress		
08-Feb-2013 Managing customer expectations through media and communications in relation to funding challenges facing the public sector.			28-Sep-2011	Paul Cresswell


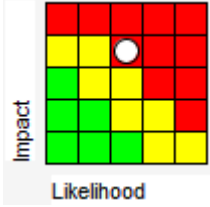
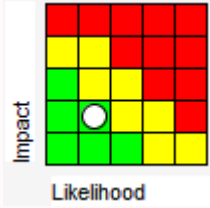
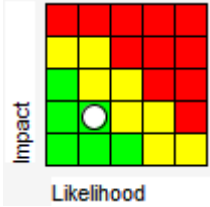
CRR 13 Fraud and Corruption

Risk Code	Risk Title	Description		Status	
CRR 13	Fraud and Corruption	Failure to ensure Council has proper procedures and policies for the prevention and detection of fraud.			
Consequences		Financial loss to the Council, damage to our reputation and credibility			
Original Matrix		Original Rating Description			
		Original Impact	B	Original Likelihood	2
			Minor		Not Likely
Current Risk Matrix		Current Rating Description			
		Current Impact	A	Current Likelihood	1
			Low		Very Low
Target Risk Matrix		Target Rating Description			
		Target Impact	A	Target Likelihood	1
			Low		Very Low
Latest Progress				Last Review Date	SMT Lead
08-Feb-2013 Arrangement with Veritau working well to deliver fraud and corruption service for Housing Benefit, currency of fraud and corruption policy maintained and training provided to managers.				24-Sep-2011	Paul Cresswell


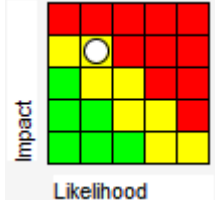
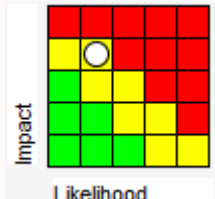
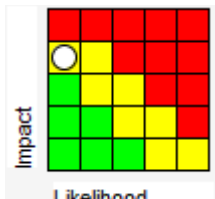
CRR 14 Data Quality

Risk Code	Risk Title	Description			Status	
CRR 14	Data Quality	The Council recognises the importance of data quality as we need reliable, accurate and timely performance information with which to manage services, inform users and account for our performance				
Consequences						
Original Matrix			Original Rating Description			
			Original Impact	B	Original Likelihood	2
				Minor		Not Likely
Current Risk Matrix			Current Rating Description			
			Current Impact	B	Current Likelihood	2
				Minor		Not Likely
Target Risk Matrix			Target Rating Description			
			Target Impact	A	Target Likelihood	1
				Low		Very Low
Latest Progress					Last Review Date	SMT Lead
08-Feb-2013 Data Quality Strategy in place and publicised to all staff. Audit of Data Quality undertaken with positive outcome					28-Sep-2011	Clare Slater


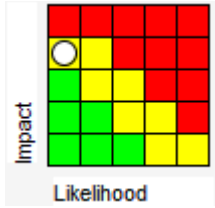
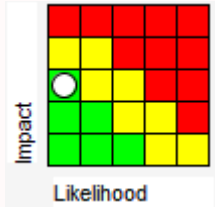
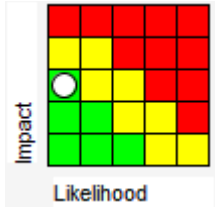
CRR 15 Delivering Efficiencies

Risk Code	Risk Title	Description		Status	
CRR 15	Delivering Efficiencies	Council fails to meet efficiency targets which necessitates cuts to other services			
Consequences		Cuts to frontline services, reputational damage to the Council, possible poor outcome of external inspection.			
Original Matrix		Original Rating Description			
		Original Impact	D	3	
			Major	Original Likelihood	Likely
Current Risk Matrix		Current Rating Description			
		Current Impact	B	2	
			Minor	Current Likelihood	Not Likely
Target Risk Matrix		Target Rating Description			
		Target Impact	B	2	
			Minor	Target Likelihood	Not Likely
Latest Progress				Last Review Date	SMT Lead
08-Feb-2013 Successful delivery of One-11 programme achieving savings of over £1 million. Going for Gold programme underway with significant efficiencies achieved to contribute to the target saving of £700k. A balanced budget has been prepared for 2013/14.				24-Sep-2011	Paul Cresswell

CRR 16 Data Protection

Risk Code	Risk Title	Description		Status	
CRR 16	Data Protection	To ensure the Council meets all of its statutory obligations relating to the protection of personal and confidential data.			
Consequences		Legal action resulting in large fines (£100k-£500k). Reputational damage and adverse publicity.			
Original Matrix		Original Rating Description			
		Original Impact	D	Original Likelihood	2
			Major		Not Likely
Current Risk Matrix		Current Rating Description			
		Current Impact	D	Current Likelihood	2
			Major		Not Likely
Target Risk Matrix		Target Rating Description			
		Target Impact	D	Target Likelihood	1
			Major		Very Low
Latest Progress				Last Review Date	SMT Lead
08-Feb-2013 Data Protection added to the Corporate Risk Register in April 2012. A range of data protection policies are available to all staff on the intranet, in addition to regular updates based on current cases. http://intranet.ryedale.gov.uk/Default.aspx?page=6859				23-Apr-2012	Phil Long

CRR 17 Regulation and Investigatory Powers Act

Risk Code	Risk Title	Description		Status	
CRR 17	Regulation and Investigatory Powers Act	That the Council does not meet the requirements of legislation including the RIPA 2000 and the Protection of Freedoms Act 2012			
Consequences		That the Council is found to be in breach of the legislation.			
Original Matrix		Original Rating Description			
		Original Impact	D	Original Likelihood	1
			Major		Very Low
Current Risk Matrix		Current Rating Description			
		Current Impact	C	Current Likelihood	1
			Medium		Very Low
Target Risk Matrix		Target Rating Description			
		Target Impact	C	Target Likelihood	1
			Medium		Very Low
Latest Progress				Last Review Date	SMT Lead
08-Feb-2013 The Council has policies and procedures in place to enable it to meet the requirements of the legislation. The Council has not had any cases reported to the Information Commissioner				08-Feb-2013	Paul Cresswell

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Commissioning Board

Held at Council Chamber, Ryedale House, Malton
on Thursday 24 January 2013

Present

Councillors Andrews, Arnold, Clark, Mrs Cowling (Chairman), Mrs Frank (Vice-Chairman), Fraser, Hope, Richardson, Mrs Sanderson and Walker

In Attendance

Fiona Brown, Nicki Lishman, Phil Long, Kim Robertshaw and Julian Rudd

Minutes

85 **Apologies for Absence**

Apologies for absence were received from Councillors Hicks and Mrs Keal

86 **Minutes of Meeting held on 22 November 2012**

Decision

That the minutes of the meeting of the Commissioning Board held on 22 November 2012 be approved and signed by the Chairman as a correct record.

87 **Declarations of Interest**

Councillor Arnold declared a personal non pecuniary but not prejudicial interest in Item 10 as he was a taxi driver.

Councillor Fraser declared a personal non pecuniary but not prejudicial interest in Item 9 as he had a business in the sector and in Item 11, as he was the Council's representative on the Board of Community Leisure Limited.

88 **Urgent Business**

There were no items of urgent business.

89 **Part A - Items dealt with under delegated powers or matters determined by the Board**

90 **Exempt Information**

Decision

That under Section 100(A)(4) of the Local Government Act 1972 that the public be excused from the meeting for the following item as there will be a

likely disclosure of exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A of the Act as the information relates to any business or financial affairs of a company.

91 **Review of Council Funding for CAB - Presentation by Housing Manager**

Considered – Presentation by the Housing Manager.

Decision

Members asked Officers to prepare a report on what our future commissioning relationship with the CAB might look like and possible funding routes, with Members preferred option being 1A in principle.

Councillors Clark and Richardson requested that their abstention from the vote be recorded.

92 **Progress Report Overview and Scrutiny Committee Recommendations**

Considered – Report of the Head of Corporate Services

Decision

That Members of the committee considered the recommendations to the Commissioning Board made in the scrutiny review report into the role of the Council in supporting a sustainable voluntary and community sector. Members also noted the decision of Council on 10 January 2013 regarding this matter.

In detail, the decisions were as follows;

1. Adopted.
Reason: The Council core funds a very limited number of organisations and this review would reflect the approach agreed at Commissioning Board held on 24 January 2013 to core funding of the Ryedale CAB. Members requested more information on the North Yorkshire Compact.
2. Adopted, subject to the addition of “should be” following “funding”.
Reason: Linked to 1 above, this is in line with the principles agreed to in the North Yorkshire Compact.
3. Adopted.
Reason: This is consistent with the decision of the CIF Panel to support RVA volunteering services.
4. It be deferred subject to receipt of more information on the role and the cost implications for the Council.

5. Approved. This approach is consistent with good practice in budgeting and grant making.
6. Approved. This approach is consistent with good practice in budgeting and grant making. Members welcomed the introduction of additional monitoring of outcomes, subject to available resources.
7. It be deferred subject to the receipt of more information on the existing grants programme.
8. Adopted.
Reason: This approach is consistent with good practice in budgeting and grant making. It was noted that the extent of such activities would be subject to resources available.
9. Adopted
Reason: This reflects current practice at the authority and is a key role of an elected member.
10. Adopted.
Reason: Noted. Members considered that the Council already does this at every opportunity.

93

Creative Economy Commissioning Update

Considered – Report of the Head of Economy and Infrastructure

- | Decision | |
|-----------------|--|
| (i) | That the “service objectives” identified for the future approach to the creative economy be endorsed; and |
| (ii) | That the “planning” phase of the creative economy commissioning process commenced through dialogue with potential service providers. |

Part B Items - Matters to be referred to Council

94

Fees and Charges

Considered – Report of the Corporate Director (s151)

- | Recommendation to Council | |
|---|---|
| That Council be recommended to approve the following fees and charges exceptions: | |
| (i) | An increase of 2.5% in Ryecare charges; |

- | | |
|-------|--|
| (ii) | No increase in Taxi Licensing fees; |
| (iii) | An increase of 3.4% for water sampling units; |
| (iv) | An increase of 3.3% for markets (charge for vehicles); and |
| (v) | An increase of 5.5% for rat control visits |

95 **Procurement of Leisure Contract**

Considered – Report of the Head of Environment, Streetscene, Facilities, ICT

<p style="text-align: center;">Recommendation to Council</p>

<p>That the decision to recommend to Council to approve the allocation of up to £60k from the operational reserve for the Leisure Contract procurement, be deferred until a special meeting of the Commissioning Board. Officers were requested to prepare a report providing information on the procurement options available, explanation of the grant and procurement rules, expertise required to undertake the procurement exercise and background on previous procurement processes.</p>
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96 **Any other business that the Chairman decides is urgent**

There being no items of urgent business the meeting closed at 9.05 pm.

Publication Date: 29 January 2013

Implementation Date: 13 February 2013